PURPOSE

The mission of the College of Business is to expand the knowledge of business and to educate men and women for managerial leadership through research activities and professional educational programs. These programs address issues of importance to future managers in a world characterized by demands for continuous improvements in quality; growing sophistication of information technology; globalized markets; racial, cultural, and gender diversity in the workforce; and a demand for managers with practical, realistic skills.

Students have many opportunities to supplement their academic experiences. The college offers an honors program for academically talented students, an Academic Access Program to assist underrepresented and other targeted students, an international component to provide a variety of international opportunities, an internship program that provides related practical experience, and 18 cocurricular organizations to increase student interaction and learning.

The college is a member of AACSB—the International Association for Management Education, the official accrediting organization in the field of business. The undergraduate and graduate programs and the School of Accountancy and Information Management are accredited by this organization.

The college is host to a chapter of Beta Gamma Sigma, a national society that recognizes high academic achievement in AACSB-accredited schools. Selection to Beta Gamma Sigma is the highest scholastic honor a student in business can earn.

In addition to the regular degree curricula, other programs of study in the college are designed to meet special needs. Selected majors are available in the evening, and continuing education courses are conducted for qualified persons who are regularly employed and who otherwise would be unable to enroll in college courses. Short courses and institutes on a noncredit basis are organized in cooperation with various business groups for the furtherance of inservice training of employed personnel.

The college works in partnership with the business community, and the board of the Dean’s Council of 100 serves as a primary source of advice and counsel for the college. Through the various divisions of the L. William Seidman Research Institute, the college reaches out to the business community through research and executive education. For more information, access the college’s Web site at www.cob.asu.edu.
ORGANIZATION

The courses offered by the College of Business are organized into groups so that a related sequence may be established for the various subject fields. For administrative purposes, these fields are organized into the following academic units:

- School of Accountancy and Information Management
- Department of Economics
- Department of Finance
- School of Health Administration and Policy
- Department of Management
- Department of Marketing
- Department of Supply Chain Management

ADMISSION

The Prebusiness Program. Each student admitted to the College of Business is designated as a prebusiness student. The student follows the freshman and sophomore sequence of courses listed in the curriculum outline. Students are required to follow the recommendations of an academic advisor in completing the prescribed background and skill courses in preparation for the subsequent professional program. The skill courses follow.

<table>
<thead>
<tr>
<th>Course</th>
<th>Required Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACC 230 Uses of Accounting Information I</td>
<td>3</td>
</tr>
<tr>
<td>ACC 240 Uses of Accounting Information II</td>
<td>3</td>
</tr>
<tr>
<td>CIS 200 Computer Applications and Information Technology CS</td>
<td>3</td>
</tr>
<tr>
<td>ECN 111 Macroeconomic Principles SB</td>
<td>3</td>
</tr>
<tr>
<td>ECN 112 Microeconomic Principles SB</td>
<td>3</td>
</tr>
<tr>
<td>Choose between the course combinations below</td>
<td>6 or 3</td>
</tr>
<tr>
<td>ENG 101 First-Year Composition (3)</td>
<td>3</td>
</tr>
<tr>
<td>ENG 103 First-Year Composition (3)</td>
<td>3</td>
</tr>
<tr>
<td>ENG 105 Advanced First-Year Composition (3)</td>
<td>3</td>
</tr>
<tr>
<td>ENG 107 English for Foreign Students (3)</td>
<td>3</td>
</tr>
<tr>
<td>ENG 108 English for Foreign Students (3)</td>
<td>3</td>
</tr>
<tr>
<td>MAT 119 Finite Mathematics MA</td>
<td>3</td>
</tr>
<tr>
<td>MAT 210 Brief Calculus MA</td>
<td>3</td>
</tr>
<tr>
<td>QBA 221 Statistical Analysis CS</td>
<td>3</td>
</tr>
<tr>
<td>Total</td>
<td>27 or 30</td>
</tr>
</tbody>
</table>

Nonbusiness majors are limited to a maximum of 15 semester hours of selected upper-division business courses (excluding ECN courses).

Bachelor of Interdisciplinary Studies. The College of Business participates in the Bachelor of Interdisciplinary Studies degree. For details, refer to “Bachelor of Interdisciplinary Studies,” page 108.

Minors. Two minors are available to nonbusiness students: a minor in Business and a minor in Small Business. To complete either of the minors, students must obtain the requirements from the Undergraduate Programs Office in the College of Business and complete the specified business courses with a grade of “C” or higher. Courses used in a student’s major may not be used toward a minor. Students are advised to consult an advisor in the colleges of their majors to ensure the proper selection of courses for the minor. The upper-division courses for the minor are restricted to students with 56 hours who are in good standing (a 2.00 ASU GPA or better). For details on the minor in Small Business, see “Small Business Programs,” page 174.

Nondegree Undergraduate and Graduate Students. A nondegree undergraduate or graduate student is permitted to enroll in selected 300- and 400-level business courses only during online registration and only if (1) the student has an ASU cumulative GPA of at least 2.50 and an ASU cumulative business GPA of at least 2.50 at the time of online registration or (2) the student has never attended ASU, in which case he or she is given a one-semester period to register during online registration and to establish a GPA at ASU. Students must meet all prerequisites and course requirements as listed in the catalog.

ADVISING

The student should follow the sequence of courses in the “Curriculum Outline Prebusiness Program” section, page 151, and the recommendations of the academic advisor in completing the prescribed background and skill courses in preparation for the subsequent professional program.

For more advising information, access the Undergraduate Programs Web site at www.cob.asu.edu/up/indexupofm.
Curriculum Outline Prebusiness Program

First Year

**First Semester**
- **ECN 111 Macroeconomic Principles SB** ........................................ 3
  or **ECN 112 Microeconomic Principles SB (3)**
- **ENG 101 First-Year Composition** .................................................. 3
  or **ENG 107 English for Foreign Students (3)**
- **MAT 210 Brief Calculus MA** ......................................................... 3
- **General Studies** ........................................................................... 3
- **PGS or SOC course** ...................................................................... 3

**Second Semester**
- **COM 100 Introduction to Human Communication SB** .................... 3
  or **COM 230 Small Group Communication SB (3)**
  or **COM 259 Communication in Business and the Professions (3)**
- **ECN 112 Microeconomic Principles SB** ....................................... 3
  or **ECN 111 Macroeconomic Principles SB (3)**
- **ENG 102 First-Year Composition** ................................................ 3
  or **ENG 108 English for Foreign Students (3)**
- **MAT 119 Finite Mathematics MA** ................................................ 3
- **Laboratory science SQ** ................................................................. 4
- **Total** .......................................................................................... 15

**Third Semester**
- **ACC 230 Uses of Accounting Information I** ................................. 3
- **QBA 221 Statistical Analysis CS** ................................................... 3
- **General Studies** ........................................................................... 3
- **Laboratory science SQ/SG** ............................................................ 4
- **PGS or SOC course** ...................................................................... 3

**Fourth Semester**
- **ACC 240 Uses of Accounting Information II** ................................ 3
- **CIS 200 Computer Applications and Information Technology CS** .... 3
- **General Studies** ........................................................................... 9

**Total** .......................................................................................... 15

Prebusiness program total ................................................................. 62

Accountancy and Computer Information Systems majors should refer to their specific course requirements under the “School of Accountancy and Information Management,” page 156, which lists course requirement variations.

Students are encouraged to have College Algebra (MAT 117) proficiency before registering in ECN 111 and 112. ECN 111 and 112 may be taken during the second and third semesters without any delay in the prebusiness program.

**Professional Program.** Students admitted to the professional program should select the necessary upper-division business courses to complete the major by consulting their departmental advising guide, with an academic advisor, or with a faculty advisor. Professional program students must complete BUS 301 and COB 301 during their first semester in the professional program.

**Transfer Credit.** Credit from other institutions is accepted subject to the following guidelines. Students planning to take their first two years of work at a community college or another four-year college should take only those courses in business and economics that are offered as freshman- or sophomore-level courses at any of the state-supported Arizona universities. These lower-division courses are numbered 100 through 299. A maximum of 30 hours of business and economics courses from community colleges are accepted toward a bachelor’s degree in business.

Students may transfer a maximum of nine semester hours of approved upper-division business course work required for the business degree to ASU Main. Professional business courses taught in the junior or senior year in the state universities may not be completed at a two-year college for transfer credit in the business core or major. The introductory course in the legal, ethical, and regulatory issues in business is accepted as an exception to this policy, but only lower-division credit is granted. Such courses may be utilized in the free elective category subject to the 30-hour limitation. Courses taught as vocational or career classes at the community colleges that are not taught in the colleges of business at any one of the state universities are not accepted for credit toward a bachelor’s degree. Courses taught in the upper-division business core at the state universities must be completed at the degree-granting institution unless transferred from an accredited four-year school. Normally, upper-division transfer credits are accepted only from AACSB-accredited schools. To be accepted for credit as part of the professional program in business, all courses transferred from other institutions must carry prerequisites similar to those of the courses they are replacing at ASU.

An Associate in Transfer Partnership degree is available to Maricopa community college students who wish to complete their first two years of course work at a Maricopa community college and transfer to the College of Business without loss of credit. An Associate of Business degree is available to students who wish to complete their first two years of course work at an Arizona community college and transfer to the College of Business without loss of credit. Students should consult with an academic advisor in the Undergraduate Programs Office to plan curriculum requirements and/or access Business Transfer Guides for optimal course selection at www.asu.edu/provost/articulation.

**DEGREES**

The faculty in the College of Business offer the B.S. degree in Accountancy, Computer Information Systems, Economics, Finance, Management, Marketing, Real Estate, and Supply Chain Management upon successful completion of a four-year curriculum of 120 semester hours. Students may select one of the majors shown in the “College of Business Baccalaureate Degrees and Majors” table, page 152. Each major is administered by the academic unit indicated.

**GRADUATE PROGRAMS**

The faculty in the College of Business offer graduate degrees as shown in the “College of Business Graduate Degrees and Majors” table, page 153. Students have the opportunity to obtain dual degrees in two years with several master’s degree programs in the College of Business, including these examples:

**NOTE:** For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
M.B.A./M.H.S.A.
M.B.A./M.S.I.M.
M.B.A./M.A.I.S.
M.B.A./M.S. degree in Economics
M.B.A./M.Tax.

Other concurrent degrees available are as follows:

M.B.A./J.D.
M.B.A./Master of Architecture
M.B.A./M.I.M. with American Graduate School of
International Management (Thunderbird), Glendale,
AZ; ESAN, Lima, Peru; Groupe Ecole Supérieure
de Commerce (ESC), Toulouse, France; ITESM-
CEM, Mexico City, Mexico; and Universidad
Carlos III de Madrid, Madrid, Spain.
M.S. Nursing/M.H.S.A.

In addition to the full-time M.B.A. program, the evening
M.B.A. program offers a high technology M.B.A. at ASU
Research Park and an evening M.B.A. at the ASU Down-
town Center.

The Executive M.B.A. program is available to those with
significant work experience.

For more information about M.B.A. programs, see the
Graduate Catalog.

ASU EXTENDED CAMPUS

The College of Extended Education was created in 1990
to extend the resources of ASU throughout Maricopa
County, the state, and the region. The College of Extended
Education is a university-wide college that oversees the
ASU Extended Campus and forms partnerships with other
ASU colleges to meet the instructional and informational
needs of a diverse community.

The ASU Extended Campus goes beyond the boundaries
of the university’s three physical campuses to provide
access to quality academic credit and degree programs for
working adults through flexible schedules; a vast network
of off-campus sites; classes scheduled days, evenings, and
weekends; and innovative delivery technologies including
television, the Internet, and independent learning. The
Extended Campus also offers a variety of professional con-
tinuing education and community outreach programs.

For more information, see “ASU Extended Campus,”
page 683, or access the Web site at www.asu.edu/xed.

UNIVERSITY GRADUATION REQUIREMENTS

In addition to fulfilling college and major requirements,
students must meet all university graduation requirements.

For more information, see “University Graduation Require-
ments,” page 74.

General Studies Requirement

All students enrolled in a baccalaureate degree program
must satisfy a university requirement for a minimum of 35
hours of approved course work in General Studies, as
described under “General Studies,” page 78. Note that all
three General Studies awareness areas are required.

General Studies courses are listed in the “General Studies
Courses” table, page 81, in the course descriptions, in the
Schedule of Classes, and in the Summer Sessions Bulletin.

First-Year Composition Requirement

Completion of both ENG 101 and 102 or ENG 105 with a
grade of “C” or higher is required for graduation from ASU
in any baccalaureate program.

COLLEGE DEGREE REQUIREMENTS

College degree requirements supplement the General
Studies requirement with additional course work from the
approved university general studies list or the College of
Business Policy statement. Business courses may not be
used to fulfill college degree requirements except for ECN
111 and 112 and QBA 221.

A well-planned program of study may enable students to
complete many General Studies and college degree
requirements concurrently. Students are encouraged to
consult with an academic advisor in planning a program to
ensure that they comply with all necessary requirements.

Specific courses from the following areas must be taken
to fulfill the college degree requirement.

Social and Behavioral Sciences. College of Business
students must complete ECN 111 and 112, one course with the
PGS prefix, and one course with the SOC prefix and may
include these courses toward the General Studies require-
ments.

Mathematical Studies. College of Business students must
complete MAT 119 and MAT 210 (or a more advanced
MAT course) and QBA 221 and may include these courses
toward the General Studies requirements.

Communication. All students in the College of Business
except Accountancy majors must complete COM 100, 230,
or 259. Accountancy majors must complete COM 230 (or
100) and 259.

Additional Courses. Additional courses, as needed to com-
plete 60 hours (54 hours for Accountancy majors), may be
College of Business Graduate Degrees and Majors

<table>
<thead>
<tr>
<th>Major</th>
<th>Degree</th>
<th>Concentration</th>
<th>Administered By</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accountancy and Information Systems</td>
<td>M.A.I.S.</td>
<td>—</td>
<td>School of Accountancy and Information Management</td>
</tr>
<tr>
<td>Business Administration</td>
<td>M.B.A.</td>
<td>—, Accountancy, computer information systems, finance, health services research, management, marketing, supply chain management</td>
<td>College of Business</td>
</tr>
<tr>
<td></td>
<td>Ph.D.</td>
<td>—</td>
<td>College of Business</td>
</tr>
<tr>
<td>Economics</td>
<td>M.S., Ph.D.</td>
<td>—</td>
<td>Department of Economics</td>
</tr>
<tr>
<td>Health Services Administration</td>
<td>M.H.S.A.</td>
<td>—</td>
<td>School of Health Administration and Policy</td>
</tr>
<tr>
<td>Information Management</td>
<td>M.S.</td>
<td>—</td>
<td>School of Accountancy and Information Management</td>
</tr>
<tr>
<td>Public Health</td>
<td>M.PH.²</td>
<td>Health administration and policy</td>
<td>School of Health Administration and Policy</td>
</tr>
<tr>
<td>Statistics</td>
<td>M.S.³</td>
<td>—</td>
<td>Committee on Statistics</td>
</tr>
<tr>
<td>Taxation</td>
<td>M.Tax.</td>
<td>—</td>
<td>School of Accountancy and Information Management</td>
</tr>
</tbody>
</table>

¹ Applications are not being accepted at this time.
² This collaborative program is offered by the three state universities.
³ This program is administered by the Graduate College.

selected from the General Studies areas (see “General Studies,” page 78) or from the College of Business Policy Statement. Students are encouraged to consult with an academic advisor to ensure that they comply with all necessary requirements. Business courses may not be used to fulfill this requirement except for ECN 111 and 112 and QBA 221.

Additional Graduation Requirements

In addition to completion of courses outlined under “Major Requirements,” on this page, to be eligible for the B.S. degree in the College of Business, a student must

1. have completed at least 30 semester hours at ASU Main;
2. have attained a cumulative GPA of 2.00 or higher for all courses taken at this university, for all business courses taken at this university, and for all courses for the major taken at this university;
3. have earned a “C” or higher in each lower-division core and skill course and each course in the major;
4. have earned a minimum of 51 semester hours in traditional courses that were designed primarily for junior or senior students and were completed in an accredited, four-year institution; and
5. have met all university degree requirements.

Exceptions. Any exception to these requirements must be approved by the Standards Committee of the College of Business.

Declaration of Graduation. A student in a professional program must complete a Declaration of Graduation during the semester in which the student completes 87 semester hours. The Degree Audit Reporting System should be used to guide the student in accomplishing successful completion of degree requirements in a timely manner. Students who have not met this requirement are prevented from further registration. Some students may be required to complete a Program of Study in place of the Declaration of Graduation. Students should consult their advisors for the proper procedure.

Pass/Fail

Business majors may not include among the credits required for graduation any courses taken at this university on a pass/fail basis. Pass/fail credits taken at another institution may be petitioned for use, but only if the student can demonstrate proof that the pass grade was equivalent to a “C” or higher.

MAJOR REQUIREMENTS

Students seeking a B.S. degree in the College of Business must satisfactorily complete a curriculum of 120 semester hours.

A major consists of a pattern of from 18 to 24 semester hours in related courses falling primarily within a given subject field. Available majors are shown in the “College of Business Baccalaureate Degrees and Majors” table, page 152.

Major Proficiency Requirements. Students must receive grades of “C” or higher in upper-division courses for the major. If a student receives a grade below “C” in any course in the major, this course must be repeated. If a second grade below “C” is received in either an upper-division course in the major already taken or in a different upper-division course in the major, the student is no longer eligible to take additional upper-division courses in that major. University policy states a course may be repeated only one time.

NOTE: For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
Business Core Requirements
To obtain an understanding of the fundamentals of business operation and to develop a broad business background, every student seeking a B.S. degree in the College of Business must complete the following courses:

**Lower-Division Business Core**
- ACC 230 Uses of Accounting Information I .................................. 3
- ACC 240 Uses of Accounting Information II ................................ 3
- CIS 200 Computer Applications and Information Technology CS ..................................................... 3

Lower-division business core total ...................................................... 9

**Upper-Division Business Core**
- BUS 301 Fundamentals of Management Communication L (first semester) .................... 3
- COB 301 Business Forum (first semester) ........................................ 1
- FIN 300 Fundamentals of Finance .................................................... 3
- LES 305 Legal, Ethical, and Regulatory Issues in Business .................. 3
- MGT 301 Management and Organization Behavior ............................ 3
- MKT 300 Principles of Marketing .................................................... 3
- SCM 300 Global Supply Operations .................................................. 3
- International business course ......................................................... 3

Upper-division business core total ................................................. 22

Business core total ................................................................. 31

Accountancy and Computer Information Systems majors should refer to their specific requirements under the “School of Accountancy and Information Management,” page 156, which lists variations in the business core courses.

**Elective Courses**
Sufficient elective courses are to be selected by the student to complete the total of 120 semester hours required for graduation.

**ACADEMIC STANDARDS**

**Probation.** All students, freshman through senior, must maintain a minimum GPA of 2.00 for all courses completed at ASU. If these standards are not maintained, the student is placed on probation. Students on probation must attend an Academic Success Workshop.

**Disqualification.** Students on probation must obtain a semester GPA of 2.50 with no grade lower than a “C.” If a student on probation meets this requirement, but their cumulative GPA remains below 2.00 the student is given an additional semester on continued probation. At the end of continued probation, the student must return to good standing (a GPA of 2.00) to avoid disqualification.

Students who have been academically disqualified are not permitted to enroll in upper-division business courses during summer sessions.

**Reinstatement and Readmission.** Students seeking reinstatement (after disqualification) or readmission (after an absence from the university) should contact the Undergraduate Programs Office regarding procedures and guidance for returning to good standing.

**Academic Dishonesty.** The faculty of the College of Business follow the guidelines in the Student Academic Integrity Policy on academic dishonesty. A copy of the policy may be obtained in the Undergraduate Programs Office.

**Student Appeal Procedure on Grades.** The faculty of the College of Business have adopted a policy on the student appeal procedure on grades. A copy of the policy may be obtained in the Undergraduate Programs Office.

**SPECIAL PROGRAMS**

**Academic Access Program.** The primary mission of the Academic Access Program (AAP) is to help the underrepresented and first generation college student populations of the College of Business successfully navigate the college’s rigorous academic demands. To that end, the office manages a number of programs to assist students, including these:

- Academic advising
- Advising ethnic student business organizations
- Mentoring
- Ongoing seminar and workshop series on study, work issues, and strategies
- Referring students to other campus support offices
- Teaching academic success courses COB 194 and 294
- Tutoring

For more information, visit BA 122, call 480/965-4066, or access the AAP Web site at www.cob.asu.edu/up/aap. You may also send faxes to 480/965-8259.

**Asian Studies.** Students in the College of Business may pursue a program with an emphasis in Asian studies as part of the B.S. degree requirements in business. After completing the prerequisite of two years of course work (or the equivalent) in an East Asian language, at least 30 semester hours of the program must be in Asian studies content courses. The Asian studies content program must be approved by the Center for Asian Studies (see “Asian Studies,” page 324). Fulfillment of the requirements is recognized on the transcript as a bachelor’s degree with a designation of the Asian studies discipline. It is possible to complete the certificate program in International Business Studies and the Asian studies emphasis concurrently. For more information, visit the Center for Asian Studies, in WHALL 105, or call 480/965-7184.

**Certificate in Small Business and Entrepreneurship.** A curriculum in small business and entrepreneurship is available to business majors at ASU. See “Small Business Programs,” page 174.

**Certificate in International Business Studies.** See “Certificate in International Business Studies,” page 166, for requirements.

**Certificate in Quality Analysis.** The program of study leading to the Certificate in Quality Analysis prepares students to perform technical analyses associated with quality measurement and improvement of manufacturing and service processes. Graduates with the ability to implement these analyses are in high demand in the marketplace. This program is not a substitute for the listed areas of business specialization; rather, the courses required for the certificate add quantitative strength and implementation skills for quality tools to the student’s chosen field of specialization.

Students are required to complete a bachelor’s degree from any of the major fields of study at ASU and to complete a minimum of 15 semester hours of approved course work, including the following nine hours:
To complete the certificate, the student selects at least six additional hours of course work related to quality analysis approved in advance by the advisor for the certificate program. The student must also complete the 15 hours of course work with a minimum GPA of 2.50.

**Honors Program.** College of Business students who have been admitted to the Barrett Honors College and the professional program are eligible to participate in the Business Honors Program.

The Business Honors Program provides opportunities for academically talented undergraduate business students to interact with other leading students, faculty, and business professionals inside and outside the classroom. The result is a challenging and enriched education experience that is valuable for professional career or graduate work.

To be admitted into the Business Honors Program, students must meet the following criteria:

1. be enrolled in the Barrett Honors College,
2. have a cumulative GPA of 3.40 or higher,
3. be admitted into the college’s professional program,
   and
4. have sufficient time to complete the honors requirements.

Upon acceptance into the program, a valuable learning experience begins. The honors course work consists of HON 171 and 172 The Human Event or HON 394 Special Topics and an additional 18 semester hours of upper-division honors courses, including the following six semester hours:

- COB 492 Honors Directed Study ........................................... 2
- COB 494 ST: Honors Research ............................................. 1
- --- 493 Honors Thesis* .......................................................... 3

* See “Honors Courses,” page 52, for an explanation of this course.

The ASU Honors Curriculum normally allows students to complete all requirements within the 120 semester hours of credit required for graduation. All courses taken for honors credit count toward graduation even if the student does not graduate from the Barrett Honors College.

The Business Honors Program emphasizes activities beyond the normal classroom setting in order to broaden the educational experience. Such activities include special honors scholarships, student/faculty mixers, and professional seminars and panel discussions. Students are also encouraged to participate in the Mentoring Program, which allows students the opportunity to interact with local business professionals.

An academic advisor is assigned strictly to assist honors students in course selection, to monitor progress toward the honors recognition, and to be actively involved in career and educational guidance upon completion of the degree.

While the program focuses on students in the professional program, freshman and sophomore honors students are offered break-out sections in core classes, are invited to attend selected events, and can be assigned a junior or senior honors mentor.

For more information, see “The Craig and Barbara Barrett Honors College,” page 112, call 480/965-8710, or stop by the Business Honors Program Office located in BA 114. You may also send faxes to 480/965-7277. More information may be obtained by accessing the Business Honors Program Web site at www.cob.asu.edu/hon.

**Internships.** The college encourages students to complement their academic program with career-related work. This practical experience gives students a distinct advantage in the job market when seeking their first full-time professional positions. Additional benefits include industry contacts, a deeper understanding of career options, and monetary compensation that helps students finance their education.

Formal internships and co-ops offer professional work experience and experiential learning opportunities that enrich the student’s academic preparation. Students may undertake internships in the summer or part-time during semesters. Co-op positions are full-time and require a one-semester or longer break in school attendance. The college provides guidelines to companies and encourages them to sponsor internship and co-op positions that benefit both the firm and the student. Both benefit because positions are built around projects and challenging responsibilities that enable students to apply learning acquired in advanced business classes.

ASU Career Services and the College of Business work cooperatively to help students identify and obtain career-related work. The process of obtaining internships and co-ops is a learning opportunity. Students use the same job-search skills and resources that are utilized to obtain permanent career positions. Informational materials, workshops, and required class activities help students learn job-search and career-exploration skills and locate internship and co-op opportunities.

Some academic units within the college offer internship courses. Work assignments for these courses must be approved in advance by a designated faculty member, and all internship courses include an academic component.

For more information, visit the Director of Career Planning and Corporate Relations in BA 122 (480/965-4066), faculty advisors in the departments or Career Services, or access the College of Business Web site at www.cob.asu.edu/up/internship.cfm.

**Latin American Studies Center.** Students in the College of Business may pursue a program with an emphasis in Latin American area studies. For more information, visit the Latin American Studies Center, in SS 213, or call 480/965-5127.

**Prelaw Studies.** Prelaw students may pursue a program of study in the College of Business. Courses in accounting, economics, finance, insurance, labor relations, and statistics are recommended for any student planning to enter the legal profession.

The admission requirements of colleges of law differ considerably. The student should communicate with the dean of
the law school the student hopes to attend and should plan a program to meet the requirements of that school. Most law schools, including the ASU College of Law, require a baccalaureate degree for admission.

Students who plan to complete a bachelor’s degree before entering law school may follow any field of specialization in the College of Business. Within the College of Business are faculty members who are lawyers and who serve as advisors for students desiring a prelaw background.

**RESEARCH CENTERS**

**L. William Seidman Research Institute**

The College of Business has eight research centers operating under the umbrella of the L. William Seidman Research Institute. The following centers provide support for faculty research, give opportunities for advanced graduate students’ involvement with faculty, and provide information and assistance to the business community on a wide variety of subjects:

- Arizona Real Estate Center
- ASU Manufacturing Institute
- Bank One Economic Outlook Center
- Center for Advanced Purchasing Studies
- Center for the Advancement of Small Business
- Center for Business Research
- Center for Services Marketing and Management
- Center for the Study of Finance

The Seidman Research Institute’s mission is to encourage and support applied business research by serving as a public access point to the College of Business, by supporting faculty and student research, by transferring new knowledge to the public, by encouraging the development of education programs grounded in applied business research, and by conducting high-quality, applied business research.

The institute increases the level of funded research by adding support services to facilitate grant preparation and assistance in grant administration and by facilitating the mission of research centers as liaisons between faculty and businesses. In addition, the institute provides desktop publishing services.

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**L. WILLIAM SEIDMAN RESEARCH INSTITUTE**

PO BOX 874011

TEMPE AZ 85287-4011

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**COLLEGE OF BUSINESS (COB)**

**COB 294 Special Topics.** (1–4)

*Fall and spring*

**COB 301 Business Forum.** (1)

*Fall, spring, summer*

Provides professional program business students with information on careers, interviewing, job hunting, and résumé skills. Must be taken in the first semester of the professional program for business students. Prerequisite: professional program business student.

**COB 380 Small Business Leadership.** (3)

*Fall, spring, summer*

Develops leadership skills needed to form, lead, and operate a small business. Emphasis on creating a vision, research, and problem solving. Team teaching, collaborative learning. Prerequisites: 2.00 GPA; 47 hours; non-business major.

**COB 381 Small Business Accounting and Finance.** (3)

*Fall and spring*

Accounting and finance skills needed by small business owners to acquire, allocate, and track monetary resources and evaluate performance. Team teaching, collaborative learning. Prerequisites: COB 380; 2.00 GPA; 56 hours; non-business major.

**COB 382 Small Business Sales and Market Development.** (3)

*Fall and spring*

Building and maintaining customers, developing a market identity and a niche, and the importance of sales. Team teaching, collaborative learning. Prerequisites: COB 380; 2.00 GPA; 56 hours; non-business major.

**COB 383 Small Business Working Relationships.** (3)

*Fall, spring, summer*

Addresses communication and the people in a business—clients, employees, suppliers, competitors, governments, family, and self development. Team teaching, collaborative learning. Prerequisites: COB 380; 2.00 GPA; 56 hours; non-business major.

**COB 384 Small Business Operations and Planning.** (3)

*Fall, spring, summer*

Planning and executing plans—the what, when, where, how, and who from product/service/project idea to pay back or completion. Team teaching, collaborative learning. Prerequisites: COB 380; 2.00 GPA; 56 hours; non-business major.

**COB 394 Special Topics.** (1–4)

*Fall and spring*

**COB 492 Honors Directed Study.** (2)

*Fall and spring*

**COB 494 Special Topics.** (1–4)

*Fall and spring*

Possible topics:

(a) Honors Research. (1)

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**School of Accountancy and Information Management**

Philip M.J. Reckers

Director

(BA 223) 480/965-3631

Fax 480/965-8392

www.cob.asu.edu/acct

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**PROFESSORS**

J.R. BOATSMAN, BOYD, GOUL, JOHNSON, KAPLAN, PANY, PEI, PHILIPPAKIS, RECKERS, RENEAU, ROY, SCHULTZ, SMITH, STEINBART, VINZE, WYNDELS

**ASSOCIATE PROFESSORS**

C. CHRISTIAN, GOLEN, GUPTA, HWANG, KEIM, KULKARNI, MOECKEL, O’DELL, O’LEARY, REGIER, ST. LOUIS, WHITECOTTON

**ASSISTANT PROFESSORS**

BHATTACHERJEE, CHEN, CHENOWETH, COMPRIX, DAVID, DOWLING, IYER, O’DONNELL, ROBINSON, SANTANAM, SHAO, WEISS

**SENIOR LECTURERS**

MACCRACKEN, SHREDNICK

**LECTURERS**

BALOGH, J.L. BOATSMAN, D. CHRISTIAN, GEIGER, BHATTACHERJEE, CHEN, CHENOWETH, COMPRIX, DAVID, DOWLING, IYER, O’DONNELL, ROBINSON, SANTANAM, SHAO, WEISS

The School of Accountancy and Information Management houses separate undergraduate degree programs in Accountancy and Computer Information Systems. The
school also offers a dual degree program in which students
can complete the requirements for both degree programs (Account-
cy and Computer Information Systems) simultaneously.
For more information, access the school’s Web site at
www.cob.asu.edu/acct.

ADMISSIONS
The School of Accountancy and Information Management
follows the College of Business policies and proce-
dures for admission to its undergraduate professional pro-
grams in Accountancy, Computer Information Systems, and the
concurrent degree program of Accountancy and Com-
puter Information Systems.
To be considered for admission to the Accountancy
major, a student must meet the College of Business admi-
mission requirements, have a grade of “B” or higher in both
ACC 250 and 240 or their equivalents, and have a grade of
“C” or higher in an introductory computer science course as
specified by the school. CIS 220 or its equivalent can be
taken in place of CIS 200.
To be considered for admission to the Computer Informa-
tion Systems major, a student must meet the College of
Business admission requirements and have a grade of “C”
or higher in an introductory computer science course as
specified by the school, in place of CIS 200.
Due to resource limitations, admission to all of the
school’s programs is very competitive. Approximately one
third of all applicants who apply to the professional pro-
grams in Accountancy and Computer Information Systems
may be admitted. Applicants are reviewed using a portfolio
approach. Among the factors considered are cumulative
GPA, skill course GPA, transfer GPA and institution (if
applicable), work experience, demonstrated community
involvement and leadership skills, and responses to ques-
tions located in the professional program application. Cur-
rent admission statistics are available at the Undergraduate
Programs Office in the College of Business.

ACCOUNTANCY—B.S.
The major in Accountancy includes the essential aca-
demic preparation for students who are
1. pursuing professional careers in public, corporate,
and governmental accounting;
2. seeking positions in consulting;
3. planning to operate their own businesses; or
4. planning to pursue a graduate degree to fulfill the
profession’s 150-hour requirement.
The major in Accountancy consists of the following
courses:

<table>
<thead>
<tr>
<th>Course</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACC 250 Introductory Accounting Lab</td>
<td>1</td>
</tr>
<tr>
<td>ACC 340 External Reporting I</td>
<td>4</td>
</tr>
<tr>
<td>ACC 350 Internal Reporting</td>
<td>4</td>
</tr>
<tr>
<td>ACC 430 Taxes and Business Decisions L</td>
<td>4</td>
</tr>
<tr>
<td>ACC 440 External Reporting II</td>
<td>4</td>
</tr>
<tr>
<td>ACC 450 Principles of Auditing</td>
<td>4</td>
</tr>
<tr>
<td>Total</td>
<td>22</td>
</tr>
</tbody>
</table>

As part of the requirements, all Accountancy majors must
complete the following courses:

<table>
<thead>
<tr>
<th>Course</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACC 240 Accounting Principles</td>
<td>3</td>
</tr>
<tr>
<td>ACC 250 Introductory Accounting Lab</td>
<td>1</td>
</tr>
<tr>
<td>ACC 340 External Reporting I</td>
<td>4</td>
</tr>
<tr>
<td>ACC 350 Internal Reporting</td>
<td>4</td>
</tr>
<tr>
<td>ACC 430 Taxes and Business Decisions L</td>
<td>4</td>
</tr>
<tr>
<td>ACC 440 External Reporting II</td>
<td>4</td>
</tr>
<tr>
<td>ACC 450 Principles of Auditing</td>
<td>4</td>
</tr>
<tr>
<td>Total</td>
<td>24</td>
</tr>
</tbody>
</table>

NOTE: For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation
requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed
in this catalog, see “Classification of Courses,” page 51.
GRADUATION REQUIREMENTS

In addition to fulfilling major requirements, students seeking a degree must meet all university and college requirements. See “University Graduation Requirements,” page 74 and “College Degree Requirements,” page 152.

ACCOUNTANCY (ACC)

ACC 230 Uses of Accounting Information I. (3)
fall, spring, summer
Introduction to the uses of accounting information focusing on the evolution of the business cycle and how accounting information is used for internal and external purposes. Prerequisite: ACC 240; sophomore standing.

ACC 240 Uses of Accounting Information II. (3)
fall, spring, summer
Introduction to the uses of accounting information focusing on the evolution of the business cycle and how accounting information is used for internal and external purposes. Prerequisites: ACC 230; sophomore standing.

ACC 250 Introductory Accounting Lab. (1)
fall, spring, summer
Procedural details of accounting for the accumulation of information and generation of reports for internal and external users. Lab. Prerequisites: ACC 230; sophomore standing.

ACC 315 Financial Accounting and Reporting. (3)
fall and spring
Accounting theory and practice related to uses of financial statements by external decision makers. Prerequisite: non-Accountancy major. Prerequisites with a grade of “C” or higher: ACC 240, 250.

ACC 316 Management Uses of Accounting. (3)
fall and spring
Uses of accounting information for managerial decision making, budgeting, and control. Prerequisites: ACC 240; non-Accountancy major.

ACC 330 Enterprise Process Analysis and Design. (4)
fall, spring, summer
Analysis and design of efficient and effective business processes. Emphasizes taking advantage of new information technologies to improve managerial decision making. 3 hours lecture, 3 hours lab. Prerequisite: professional program business student majoring in Accountancy or Computer Information Systems.

ACC 340 External Reporting I. (4)
fall, spring, summer
Financial accounting theory and practice related to external reporting. 3 hours lecture, 3 hours lab. Prerequisites: FIN 300; professional program business student majoring in Accountancy. Prerequisites with a grade of “C” or higher: ACC 250, 330.

ACC 350 Internal Reporting. (4)
fall, spring, summer
Internal reporting systems for planning, control, and decision making. 3 hours lecture, 3 hours lab. Prerequisites: SCM 300; professional program business student majoring in Accountancy. Prerequisites with a grade of “C” or higher: ACC 250, 330.

ACC 394 Special Topics. (1–4)
fall and spring
Possible topics:
(a) Financial Analysis and Accounting for Small Businesses. (3)

ACC 430 Taxes and Business Decisions. (4)
fall, spring, summer
Federal income taxation of sole proprietors, partnerships, corporations, fiduciaries, and individuals with an emphasis on tax consequences of business and investment decisions. 3 hours lecture, 3 hours lab. Prerequisites: LES 305; professional program business student majoring in Accountancy. Prerequisite with a grade of “C” or higher: ACC 340.

ACC 432 Problems in Managerial Accounting. (3)
not regularly offered
Cases and computer applications in decision making, planning and control, and capital budgeting. Prerequisite: professional program business student majoring in Accountancy. Prerequisite with a grade of “C” or higher: ACC 390.

ACC 440 External Reporting II. (4)
fall, spring, summer
Continuation of ACC 340 with emphasis on the recognition, research, and resolution of financial reporting issues. 3 hours lecture, 3 hours lab. Prerequisite: professional program business student majoring in Accountancy. Prerequisite with a grade of “C” or higher: ACC 340.

ACC 450 Principles of Auditing. (4)
fall and spring
Standards and procedures in auditing. Planning, evidence gathering and accumulation, and reporting. Ethical and legal considerations. 3 hours lecture, 3 hours lab. Prerequisite: professional program business student majoring in Accountancy. Prerequisite with a grade of “C” or higher: ACC 440.

ACC 467 Managerial Advisory Services. (3)
not regularly offered
Concepts and methods of providing advisory services with respect to accounting information systems and financial analysis. Administration of consulting practices. Prerequisite: professional program business student majoring in Accountancy. Prerequisite with a grade of “C” or higher: ACC 330.

ACC 494 Special Topics. (1–4)
not regularly offered

ACC 502 Financial Accounting. (3)
once a year
Financial accounting concepts and procedures for external reporting. Prerequisite: M.B.A. degree program student.

ACC 503 Managerial Accounting. (3)
once a year
Managerial accounting concepts and procedures for internal reporting. Prerequisite: M.B.A. degree program student.

ACC 511 Taxes and Business Strategy. (3)
once a year
Economic implications of selected management decisions involving application of federal income tax laws. Recognition of tax hazards and tax savings. Prerequisite: ACC 502 (or its equivalent).

ACC 515 Professional Practice Seminar. (3)
once a year
History, structure, environment, regulation, and emerging issues of the accounting profession.

ACC 521 Tax Research. (3)
once a year
Tax research source materials and techniques. Application to business and investment decisions. Prerequisite: ACC 430.

ACC 533 Application Solutions in the Connected Economy. (3)
not regularly offered
Analysis of software solutions and evaluation methods. Emphasis on current topics such as enterprise modeling, ERP software, and inter-organizational solutions. Prerequisite: M.S. in Information Management degree program student or M.A.I.S. degree program student.

ACC 541 Strategic Innovations in Information and Cost Management. (3)
once a year
Strategic cost management emphasizing contemporary topics, including activity-based costing and strategic uses of information technology systems. Cooperative learning, lecture. Prerequisite: ACC 503 or M.S. in Information Management degree program student or M.A.I.S. degree program student.

ACC 567 Financial Models in Accounting Systems. (3)
once a year
Development and application of financial models by accountants. Analysis of decision support systems as financial modeling environments. Prerequisite: ACC 330.

ACC 571 Taxation of Corporations and Shareholders. (3)
once a year
Tax aspects of the formation, operation, reorganization, and liquidation of corporations and the impact on shareholders. Pre- or corequisite: ACC 521.

ACC 573 Taxation of Pass-Through Entities. (3)
once a year
Tax aspects of the definition, formation, operation, liquidation, and termination of a partnership. Tax planning is emphasized. Pre- or corequisite: ACC 521.

ACC 575 Family Tax Planning and Wealth Transfer Taxation. (3)
once a year
Tax treatment of wealth transfers at death and during life time, with emphasis on tax planning. Pre- or corequisite: ACC 521.
ACC 577 Taxation of Real Estate Transactions. (3)
- once a year
- Income tax aspects of acquisition, operation, and disposal of real estate; syndications; installment sales; exchanges; dealer-investor issues; alternative financing; and planning. Prerequisite: ACC 521 or instructor approval.

ACC 582 Information Security of Interorganizational Systems. (3)
- not regularly offered
- Function and responsibility of the information security officer. Advanced topics in security methods and technology. Prerequisite: M.S. in Information Management degree program student or M.A.I.S. degree program student.

ACC 585 Performance Measurement of Emerging Business Models. (3)
- once a year
- Application of quantitative techniques to accounting problems. Prerequisite: ACC 503 or M.S. in Information Management degree program student or M.A.I.S. degree program student.

ACC 586 Shareholder Value Creation and Financial Statement Analysis. (3)
- not regularly offered
- Develop skills necessary to exploit financial reporting information in a business environment and appreciation of reporting issues faced by management.

ACC 587 Business Process Integrity Controls. (3)
- once a year
- Design and evaluation of computer-based accounting information system. Development of computer-based business models for planning and control. Prerequisite: M.A.I.S. degree program student.

ACC 591 Seminar on Selected ACC Topics. (1–12)
- once a year
- Possible topics:
  (a) Computer Security. (3)
  (b) Data Warehouse and Data Mining. (3)
  (c) Electronic Commerce. (3)
  (d) Enterprise Modeling. (3)

COMPUTER INFORMATION SYSTEMS (CIS)

CIS 200 Computer Applications and Information Technology. (3)
- fall, spring, summer
- Introduction to business information systems and the use of business application software. Prerequisite: MAT 117 or higher.

General Studies: CS

CIS 220 Programming Concepts for Accountancy Majors. (3)
- fall, spring, summer
- Introduction to business computer programming. Program languages such as C and C++ are used to familiarize students with proper programming style and practice. Prerequisite: prebusiness student.

CIS 235 Business Information Systems Development. (3)
- fall, spring, summer
- Developing information systems and electronic commerce applications using object-oriented languages (e.g., JAVA). Introduction to business technology and systems analysis. Prerequisites: CSE 100; MAT 119 (or 210).

CIS 300 Computers in Business. (3)
- not regularly offered
- Introduction to information systems in business. Use of computers for business problem solving. Prerequisites: CIS 200; professional program business student.

CIS 307 Systems Modeling. (3)
- not regularly offered
- Procedures for investigating and analyzing decision systems. Use of special languages as tools of analysis and simulation. Prerequisites: CSE 100; MAT 119 (or 210 or 270); professional program business student.

CIS 335 Visual Paradigms for Information Systems Development. (3)
- fall, spring, summer
- Using visual programming languages such as Visual BASIC to implement data structures, file structures, and interfaces in business information systems. Prerequisites: both CSE 100 and professional program business student majoring in Computer Information Systems or both CIS 220 and professional program business student majoring in Accountancy.

CIS 410 Object-Oriented Modeling and Programming. (3)
- fall and spring
- Object-oriented modeling of business information systems. Abstract data types and object-oriented programming using a language such as C++. Prerequisite: professional program business student majoring in Computer Information Systems. Prerequisites with a grade of “C” or higher: CIS 235, 335.

CIS 420 Business Database Concepts. (3)
- fall and spring
- Database theory, design, and application, including the entity-relationship model; the relational, hierarchical, and network database models; and query languages. Prerequisite: professional program business student majoring in Computer Information Systems or Accountancy. Prerequisites with a grade of “C” or higher: ACC 330; CIS 335.

CIS 430 Networks and Distributed Systems. (3)
- fall and spring
- Advanced topics such as communications protocols, distributed systems, and client-server systems; applications based on platforms such as networked UNIX. Prerequisites: CIS 410; professional program business student majoring in Computer Information Systems.

CIS 440 Systems Design and Electronic Commerce. (3)
- fall and spring
- Systems design for organizational and electronic commerce systems; use of project management and systems analysis and design tools. Prerequisites: professional program business student majoring in Computer Information Systems. Prerequisites with a grade of “C” or higher: CIS 410, 420. Prerequisite with a grade of “C” or higher: CIS 430.

General Studies: L

CIS 494 Special Topics. (1–4)
- not regularly offered

CIS 502 Management Information and Decision Support Systems. (3)
- once a year
- Fundamentals of computer-based management information and decision support systems. Prerequisite: M.B.A. degree program student.

CIS 505 Object-Oriented Modeling and Programming. (3)
- once a year
- Object-oriented modeling of business information systems, abstract data types and object-oriented programming using a visual language. Prerequisite: M.S. in Information Management degree program student or M.A.I.S. degree program student.

CIS 506 Business Database Systems. (3)
- once a year
- Hierarchical, network, relational, and other recent data models for database systems. Processing issues such as concurrency control, query optimization, and distributed processing. Prerequisite: M.S. in Information Management degree program student or M.A.I.S. degree program student.

CIS 512 Intelligent Decision Systems and Knowledge Management. (3)
- once a year
- Definition, description, construction, and evaluation of computer-based decision systems. Prerequisite: M.S. in Information Management degree program student or M.A.I.S. degree program student.

CIS 515 Management Information Systems. (3)
- not regularly offered
- Systems theory concepts applied to the collection, retention, and dissemination of information for management decision making. Prerequisite: M.S. in Information Management degree program student or M.A.I.S. degree program student.

NOTE: For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
Economists obtain positions at universities and in government, financial institutions, brokerage houses, private nonfinancial corporations, international organizations such as the International Monetary Fund and the World Bank, as financial journalists, and as marketing and management specialists in domestic and international firms.

**ECONOMICS—B.S.**

Economics majors are required to earn a minimum grade of "C" in MAT 210 Brief Calculus before taking upper-division courses in economics. While MAT 210 meets the minimum mathematics requirement to major in Economics, all Economics majors who anticipate going on to graduate school in economics or in business or to law school are encouraged to take MAT 270 Calculus with Analytic Geometry I. Majors are encouraged to pursue further course work in mathematics. MAT 270 may be taken in lieu of MAT 210.

The major in Economics consists of 18 semester hours of upper-division courses in economics. The following six hours must be included:

- ECN 313 Intermediate Macroeconomic Theory SB ......................3
- ECN 314 Intermediate Microeconomic Theory SB ......................3

ECN 313 and 314 should be taken before other upper-division courses in economics. Students must earn a minimum grade of "C" in ECN 313 and 314. Concurrent enrollment in ECN 313 and 314 is permitted. Concurrent enrollment in ECN 313 or 314 and other upper-division courses in economics is subject to the approval of the faculty advisor.

**MAJOR PROFICIENCY REQUIREMENTS**

Students must receive grades of "C" or higher in upper-division courses for the major. If a student receives a grade below "C" in any course in the major, this course must be repeated. If a second grade below "C" is received in either an upper-division course in the major already taken or in a different upper-division course in the major, the student is no longer eligible to take additional upper-division courses in the major.

**Other Economics Programs.** For information on majoring in Economics in the College of Liberal Arts and Sciences, see “Economics,” page 353.

For information on the minor in General Economics and on the minor in Economics for Students Planning a Career in Law, see “Minor in Economics for Students Planning a Career in Law,” page 353.

**GRADUATION REQUIREMENTS**

In addition to fulfilling major requirements, students seeking a degree must meet all university and college requirements. See “University Graduation Requirements,” page 74, and “College Degree Requirements,” page 152.

**ECONOMICS (ECN)**

- **ECN 111 Macroeconomic Principles.** (3)
  - Fall, spring, summer
  - Basic macroeconomic analysis. Economic institutions and factors determining income levels, price levels, and employment levels.
  - General Studies: SB
ECN 112 Microeconomic Principles. (3)
fall and spring
Basic microeconomic analysis. Theory of exchange and production, including the theory of the firm.
General Studies: SB

ECN 306 Survey of International Economics. (3)
fall and spring
Survey of international trade issues, commercial policy, trade theory, customs unions, and international monetary topics. Not for Economics majors. Lecture, discussion. Cross-listed as IBS 306. Credit is allowed for only ECN 306 or IBS 306. Prerequisites: ECN 111 or 112; 2.00 ASU GPA; junior standing.
General Studies: SB, G

ECN 313 Intermediate Macroeconomic Theory. (3)
fall and spring
Determinants of aggregate levels of employment, output, and income of an economy. Prerequisites: ECN 111, 112. Prerequisite with a grade of "C" or higher: MAT 210.
General Studies: SB

ECN 315 Money and Banking. (3)
summer
Functions of money. Monetary systems, credit functions, banking practices, and central banking policy. Cannot be applied to the Economics major. Prerequisite: ECN 111.

ECN 331 Comparative Economic Systems. (3)
not regularly offered
Alternative institutions, past and present, for organizing the social division of labor. Property rights, information, and incentives in industrial societies. Prerequisite: ECN 111 or 112.
General Studies: SB, G

ECN 360 Economic Development. (3)
not regularly offered
Theories of economic growth and development. Role of capital formation, technological innovation, population, and resource development in economic growth. Prerequisite: ECN 111 or 112.
General Studies: SB, G

ECN 365 Economics of Russia and Eastern Europe. (3)
once a year
Origins and analysis of contemporary institutions. Comparative development and differentiation in the 20th century. Prerequisite: ECN 111 or 112.
General Studies: SB, G

ECN 382 Managerial Economics. (3)
once a year
Application of economic analysis to managerial decision making. Market analysis in the context of the socio-legal environment. Not for Economics majors. Lecture, discussion. Prerequisites: ECN 111, 112; 2.00 ASU GPA; junior standing.

ECN 384 Economics of Social Behavior. (3)
once a year
Application of economic analysis to contemporary behavior: discrimination, work versus leisure, crime, medical care, macroeconomic policies. Not for Economics majors. Lecture, student participation. Prerequisites: 2.00 ASU GPA; junior standing.
General Studies: L/SB

ECN 394 Special Topics. (3)
not regularly offered
Current topics of domestic or international interest. Analytical emphasis may be macro, micro, or both. See current Schedule of Classes for offerings. Not for Economics majors. Prerequisite: ECN 111 or 112.

ECN 404 History of Economic Thought. (3)
not regularly offered
Development of economic doctrines, theories of mercantilism, physiocracy, classicism, neoclassicism, Marxism, and contemporary economics. Prerequisite: ECN 314 or instructor approval.
General Studies: SB

ECN 421 Earnings and Employment. (3)
once a year
Analysis of earnings, employment, unemployment, training, education, and related topics. Policy issues are emphasized. Prerequisite: ECN 314 or instructor approval.
General Studies: L/SB

ECN 436 International Trade Theory. (3)
once a year
Comparative-advantage doctrine, including practices under varying commercial policy approaches. Economic impact of international disequilibrium. Prerequisite: ECN 314 or instructor approval.
General Studies: SB, G

ECN 438 International Monetary Economics. (3)
once a year
History, theory, and policy of international monetary economics. Balance of payments and exchange rates. International financial markets including Eurocurrency markets. Prerequisite: ECN 313 or instructor approval.
General Studies: SB, G

ECN 441 Public Finance. (3)
once a year
Public goods, externalities, voting models, public expenditures, taxation, and budget formation with emphasis on the federal government. Prerequisite: ECN 314 or instructor approval.
General Studies: L/SB

ECN 450 Law and Economics. (3)
once a year
Economics of the legal system including analysis of property, contracts, torts, commercial law, and other topics. Discussion, analysis. Prerequisite: ECN 314.
General Studies: L

ECN 453 Government and Business. (3)
once a year
Development of public policies toward business. Antitrust activity. Economic effects of government policies. Prerequisite: ECN 314 or instructor approval.

ECN 480 Introduction to Econometrics. (3)
once a year
Elements of regression analysis: estimation, hypothesis tests, prediction. Emphasizes use of econometric results in assessment of economic theories. Prerequisite: instructor approval.

ECN 484 Economics Internship. (3)
fall, spring, summer
Academic credit for professional work organized through the internship program. Prerequisites: ECN 313, 314; outstanding academic record.

ECN 485 Mathematical Economics. (3)
once a year
Integrates economic analysis and mathematical methods into a comprehensive body of knowledge within contemporary economic theory. Prerequisite: instructor approval.

ECN 493 Honors Thesis. (3)
not regularly offered
General Studies: L

ECN 494 Special Topics. (1–4)
not regularly offered
Current economic topics of domestic or international interest. Analytical emphasis may be macro, micro, or both. See current Schedule of Classes for offerings. Prerequisites: both ECN 313 and 314 or only instructor approval.

NOTE: For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
ECN 498 Pro-Seminar. (3)  
*once a year*  
Topic chosen from current area of interest. Prerequisites: both ECN 313 and 314 or only instructor approval.

ECN 502 Managerial Economics. (3)  
*fall and spring*  
Application of microeconomic analysis to managerial decision making in areas of demand, production, cost, and pricing. Evaluation of competitive strategies. Prerequisite: M.B.A. degree program student.

ECN 503 Global Economics for Managers. (3)  
*fall and spring*  
Macroeconomic analysis of issues related to economic growth, inflation, interest rates behavior, unemployment, exchange rate determination, and global competitiveness. Prerequisite: M.B.A. degree program student.

ECN 504 History of Economic Thought. (3)  
*spring*  
Historical development of economic theory. Emphasis on the development of economic analysis from preclassical economics through Keynes. Prerequisite: ECN 510 or instructor approval.

ECN 509 Macroeconomic Theory and Applications. (3)  
*fall*  
Theory of income, output, employment, and price level. Influence on business and economic environment. Prerequisites: both ECN 111 and calculus or only instructor approval.

ECN 510 Microeconomic Theory and Applications. (3)  
*fall*  
Application of economic theory to production, consumer demand, exchange, and pricing in a market economy. Prerequisites: both ECN 313 and calculus or only instructor approval.

ECN 511 Macroeconomic Analysis I. (3)  
*fall*  
Current theories of output, employment, inflation, and asset prices as well as major aggregates. Introduction to dynamic optimization techniques. Prerequisites: both ECN 313 and calculus or only instructor approval.

ECN 512 Microeconomic Analysis I. (3)  
*fall*  
Theory of production, consumer demand, resource use, and pricing in a market economy. Prerequisites: both ECN 314 and calculus or only instructor approval.

ECN 513 Macroeconomic Analysis II. (3)  
*fall*  
Focuses on growth theory, dynamic general equilibrium models, monetary theory, open-economy issues. Prerequisite: ECN 511 or instructor approval.

ECN 514 Microeconomic Analysis II. (3)  
*spring*  
General equilibrium, welfare economics, production, and capital theory. Prerequisite: ECN 512 or instructor approval.

ECN 515 Advanced Macroeconomic Analysis. (3)  
*fall*  
Focuses on current research areas in macroeconomics and monetary theory with emphasis on methods in economic dynamics and numerical techniques. Prerequisite: ECN 511 or instructor approval.

ECN 516 Economics of Uncertainty, Information, and Strategic Behavior. (3)  
*fall*  
Economic behavior under uncertainty: markets and contracts under asymmetric information; the theory of games with incomplete information and applications. Prerequisite: ECN 511 or instructor approval.

ECN 517 Monetary Theory. (3)  
*fall*  
Traditional and post-Keynesian monetary theory. Interest rate determination, the demand and supply of money. Prerequisite: ECN 511 or instructor approval.

ECN 521 Labor Economics I. (3)  
*spring*  
Development of basic theoretical models for analyzing labor market issues. Prerequisite: ECN 510 or instructor approval.

ECN 522 Labor Economics II. (3)  
*not regularly offered*  
Extensions/criticisms of labor market theories. Applications to a variety of policy issues. Prerequisite: ECN 521.

ECN 525 Econometrics I. (3)  
*spring*  
Problems in the formulation of econometric models. Emphasizes estimation, hypothesis testing, and forecast of general linear models. Prerequisite: 6 hours in statistics or instructor approval.

ECN 526 Econometrics II. (3)  
*fall*  
Estimation and inference of qualitative and limited dependent variable models as well as general multiple equation models. Prerequisite: ECN 525 or instructor approval.

ECN 527 Econometrics III. (3)  
*spring*  
Generalized method of moment estimation, estimation with censored and truncated samples, nonlinear models, panel-data models, econometrics of nonstationarities. Prerequisite: ECN 526 or instructor approval.

ECN 531 Comparative Economic Systems. (3)  
*fall*  
Philosophical foundations of major economic systems and of properties of principal system models. Comparison of alternative institutions and system components of contemporaneous economies. Prerequisites: both ECN 509 and 510 or only instructor approval.

ECN 536 International Trade Theory. (3)  
*spring*  
Theories of comparative advantage and their empirical verification. Theory and political economy of commercial policy. Resource transfers and the role of the multinational corporation. Prerequisites: both ECN 509 and 510 or only instructor approval.

ECN 541 Public Economics. (3)  
*fall*  
Economics of collective action, public spending, taxation, and politics. Impact of central governmental activity on resource allocation and income distribution. Prerequisite: ECN 510 or instructor approval.

ECN 553 Industrial Organization. (3)  
*spring*  
Analysis of structure, conduct, and performance in industrial markets; the economics of organizations. Prerequisite: ECN 510 or instructor approval.

ECN 560 Economics of Growth and Development. (3)  
*fall*  
Economic problems, issues, and policy decisions facing the developing nations of the world. Prerequisites: both ECN 509 and 510 or only instructor approval.

ECN 584 Economics Internship. (1–3)  
*summer*  
Academic credit for professional work organized through the internship program. Prerequisites: both ECN 510 and 511 or only instructor approval.

ECN 585 Mathematics for Economists. (3)  
*fall*  
Survey of mathematical ideas encountered in economics and econometrics: nonlinear programming, the Kuhn-Tucker theorem, concave programming, optimization over time. Prerequisite: calculus or instructor approval.

ECN 591 Economics Seminar. (1–3)  
*fall, spring, summer*  
Presentsations by outside speakers, department faculty, and graduate students of work in progress. Prerequisite: instructor approval.

ECN 593 Applied Projects. (3)  
*fall*  
Preparation of a supervised applied project typically in conjunction with an internship. Prerequisites: ECN 510, 511.
ECN 594 Conference and Workshop in Economics. (1–12)
fall
Workshops offered include: economic analysis, microeconomic analysis, macroeconomics.

ECN 598 Special Topics. (3)
not regularly offered
Advanced topics in economics. Consult the Schedule of Classes for offerings. Prerequisite: instructor approval.

**QUANTITATIVE BUSINESS ANALYSIS (QBA)**

For more QBA courses, see “Department of Management.”

**QBA 221 Statistical Analysis. (3)**
fall and spring
General Studies: CS

**QBA 321 Applied Quality Analysis I. (3)**
once a year
Applies statistical tools employed in empirical studies related to quality analysis. Applications focus on service processes. Prerequisite: QBA 221.
General Studies: L

**QBA 410 Applied Business Forecasting. (3)**
not regularly offered
Applies forecasting techniques in business and institutional environments. Prerequisite: QBA 321.

**QBA 421 Applied Quality Analysis II. (3)**
once a year
Applies statistical tools employed in manufacturing and experimental research. Applications focus on design and improvement of processes. Prerequisite: QBA 321.

**QBA 502 Managerial Decision Analysis. (3)**
fall and spring
Fundamentals of quantitative analysis to aid management decision making under uncertainty. Prerequisites: MAT 210; computer literacy; graduate degree program student.

**QBA 525 Applied Regression Models. (3)**
once a year
Simple linear regression, multiple regression, indicator variables, and logistic regression. Emphasis on business and economic applications. Prerequisite: MAT 210.

**QBA 527 Categorical Data Analysis. (3)**
once a year
Discrete data analysis in business research. Multidimensional contingency tables and other discrete models. Prerequisite: QBA 525.

**QBA 530 Experimental Design. (3)**
once a year
Experimental designs used in business research. Balanced and unbalanced factorial designs, repeated measures designs, and multivariate analysis of variance. Prerequisite: QBA 525 (or its equivalent).

**QBA 535 Multivariate Methods. (3)**
once a year
Advanced statistical methods used in business research. Multivariate analysis of association and interdependence. Prerequisite: QBA 525.

**QBA 540 Forecasting. (3)**
not regularly offered
Foundation of statistical forecasts and forecast intervals; applies classical and computer-assisted forecasting methods to business forecasting problems. Prerequisites: MAT 210; QBA 502.

**QBA 593 Applied Project. (1–12)**
not regularly offered

**QBA 599 Thesis. (1–12)**
not regularly offered

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**Department of Finance**

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**PROFESSORS**

BOOTH, COLES, KAUFMAN, POE, SUSHKA

**ASSOCIATE PROFESSORS**

CESTA, GALLINGER, HERTZEL, HOFFMEISTER, WILT

**ASSISTANT PROFESSORS**

CAMPELLO, GRIFFIN, MARTIN, NARDARI, PERRY

**FINANCE—B.S.**

The study of finance prepares students to understand the financial implications inherent in virtually all business decisions. Students majoring in Finance are prepared for entry-level careers in corporate management, depository institutions, investment management, and financial services. The finance curriculum emphasizes financial markets, evaluation of investments, and efficient allocation of resources.

The major in Finance consists of the following courses:

- ACC 315 Financial Accounting and Reporting ......................... 3
- FIN 331 Financial Markets and Institutions .......................... 3
- FIN 361 Managerial Finance ............................................... 3
- FIN 421 Security Analysis and Portfolio Management ............... 3
- FIN 461 Financial Cases and Modeling L .................................. 3
- One additional 400-level FIN course .................................... 3

Total .......................................................................................... 18

As part of the requirements, all Finance majors must complete ACC 250 Introductory Accounting Lab. Finance majors are strongly advised to take ACC 316 Management Uses of Accounting. FIN 484 Finance Internship is available for nonmajor elective credit.

ACC 250 must be completed before taking ACC 315, FIN 331 and 361 and ACC 315 must be completed before taking 400-level FIN courses.

**MAJOR PROFICIENCY REQUIREMENTS**

Students must receive grades of “C” or higher in upper-division courses for the major. If a student receives a grade below “C” in any course in the major, this course must be repeated before taking any further courses for which this course is a prerequisite. If a second grade below “C” is received in either an upper-division course in the major already taken or in a different upper-division course in the major, the student is no longer eligible to take additional upper-division courses in that major.

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**NOTE:** For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
GRADUATION REQUIREMENTS

In addition to fulfilling major requirements, students seeking a degree must meet all university and college requirements. See “University Graduation Requirements,” page 74, and “College Degree Requirements,” page 152.

FINANCE (FIN)

FIN 300 Fundamentals of Finance. (3)
fall, spring, summer
Theory and problems in financial management of business enterprises. Prerequisites: ACC 240; ECN 112; QBA 221.

FIN 331 Financial Markets and Institutions. (3)
fall and spring
Analysis of financial markets and intermediaries. Theory of financial intermediation, interest rate theory, money and capital market instruments, and government regulation. Prerequisite: professional program business student majoring in Finance. Prerequisite with a grade of "C" or higher: FIN 300.

FIN 361 Managerial Finance. (3)
fall and spring
Theories and problems in resource allocation, cost of capital, CAPM and capital budgeting, asset valuation, capital structure, and financing policy. Prerequisite: professional program business student majoring in Finance. Prerequisite with a grade of "C" or higher: FIN 300.

FIN 380 Personal Financial Management. (3)
fall and spring
Dynamic analysis of personal financial planning, including time value of money, stock and bond investment, and retirement and estate planning. Prerequisites: minimum cumulative GPA of 2.00; junior standing; non-Finance major.

FIN 394 Special Topics. (1–4)
not regularly offered

FIN 421 Security Analysis and Portfolio Management. (3)
fall and spring

FIN 427 Derivative Financial Securities. (3)
not regularly offered
Study of stock options, index options, convertible securities, financial futures, warrants, subscription rights, and arbitrage pricing theory. Lecture, discussion. Prerequisite: professional program business student majoring in Finance. Prerequisite with a grade of "C" or higher: FIN 421.

FIN 431 Management of Financial Institutions. (3)
once a year
Asset/liability and capital management in financial institutions. Influence of market factors and regulatory agencies. Emphasis on commercial banks. Lecture, discussion. Prerequisite: professional program business student majoring in Finance. Prerequisite with a grade of "C" or higher: ACC 315; FIN 331, 361.

FIN 451 Working Capital Management. (3)
not regularly offered
Analysis of short-term profitability and liquidity. Emphasis on managing cash, accounts receivable, inventory, and current liabilities. Lecture, discussion. Prerequisite: professional program business student. Prerequisites with a grade of "C" or higher: ACC 315; FIN 331, 361.

FIN 456 International Financial Management. (3)
not regularly offered
Exchange rate determination, financial markets, managing multinational corporations, capital budgeting, and hedging currency risk exposure from an international perspective. Prerequisite: professional program business student majoring in Finance. Prerequisite with a grade of "C" or higher: ACC 315; FIN 331, 361.

FIN 461 Financial Cases and Modeling. (3)
not regularly offered
Case-oriented capstone course in managerial finance. Contemporary issues of liquidity management, capital budgeting, capital structure, and financial strategy. Lecture, discussion, group work. Prerequisite: professional program business student majoring in Finance. Prerequisites with a grade of "C" or higher: ACC 315; FIN 331, 361.

FIN 481 Honors Seminar in Finance. (3)
not regularly offered
Honors course covering topics that include theory and applications concerning managerial finance, investments, and financial institutions. Lecture, discussion. Prerequisite: Finance College of Business honors program student. Prerequisites with a grade of "C" or higher: ACC 315; FIN 331, 361.

FIN 484 Finance Internship. (3)
fall, spring, summer
Academic credit for field work in finance organized through the internship program. Prerequisites: FIN 331, 361; strong academic record; instructor approval.

FIN 494 Special Topics. (1–4)
not regularly offered

FIN 502 Managerial Finance. (3)
not regularly offered
Theory and practice of financial decision making, including risk analysis, valuation, capital budgeting, cost of capital, and working capital management. Prerequisites: ACC 502; ECN 502; QBA 502.

FIN 521 Investment Management. (3)
not regularly offered
Valuation of equities, fixed incomes, and option/financial futures in an individual security and portfolio context; mathematical asset allocation approaches. Lecture, discussion. Prerequisite: FIN 502.

FIN 527 Derivative Financial Securities. (3)
not regularly offered
Analysis of forwards, futures, and option contracts on bonds, commodities, equities, and foreign exchange. Design of speculative and hedging strategies. Lecture, discussion. Prerequisites: FIN 502, 521.

FIN 531 Capital Markets and Institutions. (3)
not regularly offered
Recent theoretical and operational developments in economic sectors affecting capital markets and institutions. Lecture, discussion. Prerequisite: FIN 502.

FIN 551 Financial Statement Analysis. (3)
not regularly offered
Analysis of corporations’ financial statements to ascertain their financial strength and default risk. Emphasis on studying cash flows. Lecture, cases. Prerequisites: ACC 502; FIN 502.

FIN 556 International Financial Management. (3)
not regularly offered
Behavior of real and nominal currency exchange rates, management of international investment portfolios, corporate exchange exposure, and hedging exchange risk. Lecture, discussion. Prerequisite: FIN 502.

FIN 561 Financial Management Cases. (3)
not regularly offered
Case-oriented course in applications of finance theory to management issues. Acquisition, allocation, and management of funds within the business enterprise. Working capital management, capital budgeting, capital structure, and financial strategy. Lecture, discussion, presentation. Prerequisite: FIN 502.

FIN 581 Applied Corporate Finance. (3)
not regularly offered

FIN 781 Theory of Finance. (3)
not regularly offered
Fundamental tools of financial economics; asset pricing, arbitrage, option pricing, capital structure, dividend policy, asymmetric information, and transaction-cost economics. Prerequisites: FIN 502, 521, 531.
FIN 791 Doctoral Seminar in Finance. (1–12)

once a year
Possible topics:
(a) Financial Institutions and Markets. (3)
   Economic and monetary theory applied to financial markets and institutions; implications of financial structure for market performance and efficiency.
(b) Financial Management. (3)
   Financial theory pertaining to capital structure, dividend policy, valuation, cost of capital, and capital budgeting.
(c) Investments. (3)
   Investments and market theory; efficient markets hypothesis; option and commodity markets.
Prerequisite: FIN 781.

HEALTH SERVICES ADMINISTRATION (HSA)

HSA 220 Health Care Organizations. (3)
fall and spring
Overview of United States health care delivery systems; financing, health policy, basic principles of budgeting, cost-benefit analysis, and resource management. Cross-listed as HCR 220. Credit is allowed for only HCR 220 or HSA 220. Prerequisites: ENG 101 (or 105), 102.

General Studies: L

HSA 473 Comparative Health Systems. (3)
not regularly offered
Comparison of health care financing and delivery in industrialized countries; covers insurance, hospital management and physician payment. Lecture, discussion.

HSA 498 Pro-Seminar. (1–7)
not regularly offered
Possible topics:
(a) Health Care Finance. (3)
(b) Health Economics. (3)
(c) Health Service Administration and Policy. (3)
(d) Policy Issues in Health Care. (3)

HSA 502 Health Care Organization. (3)

once a year
Concepts, structures, functions, and values which characterize contemporary health care systems in the United States.

HSA 505 Community Health Care Perspectives. (3)

once a year
Epidemiological, sociological and political perspectives, and techniques for analyzing health problems and responding to health care needs in communities. Prerequisite: HSA 502.

HSA 512 Health Care Economics. (3)

once a year
Economics of production and distribution of health care services, with special emphasis on the impact of regulation, competition, and economic incentives. Prerequisite: HSA 502.

HSA 520 Health Care Organizational Structure and Policy. (3)

once a year
Functional relationships among managerial elements of health care institutions with major focus on hospital governance and policy dynamics. Prerequisite: HSA 502.

HSA 522 Health Care Management Systems. (3)

once a year
Systems concepts, quantitative methods, and information systems applied to management problems in health institutions and community health planning. Prerequisites: HSA 505; QBA 502.

HSA 532 Financial Management of Health Services. (3)

once a year
Acquisition, allocation, and management of financial resources within the health care enterprise. Budgeting, cost analysis, financial planning, and internal controls. Prerequisites: ACC 503; FIN 502; HSA 502.

HSA 540 Health Care Outcomes. (3)

once a year
Project-oriented course on application of efficiency-based methods for the evaluation of the outcomes of health care. Seminar, individual student research. Prerequisite: HSA 512 or enrollment in Ph.D. program.

HSA 542 Health Care Jurisprudence. (3)

once a year
Legal aspects of health care delivery for hospital and health services administration. Legal responsibilities of the hospital administrator and staff. Prerequisites: HSA 505, 520.

HSA 560 Health Services Administration and Policy. (3)
fall and spring
Introduction to organizational theory and management of complex organizations within the historical and contemporary contexts of the U.S. public health.

HSA 561 Biostatistics. (3)
fall
Aspects of descriptive statistics and statistical inference most relevant to health issues, including data, rates, and confidence intervals.

NOTE: For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
HSA 562 Health Care Organization and Systems. (3)  
Once a year  
Functional relationships among managerial elements of health care institutions with major focus on hospital governance and policy dynamics.

HSA 563 Health Care Economics. (3)  
Fall  
Introduction to concepts and methods used to direct and understand production and distribution of health care services.

HSA 564 Health Care Finance. (3)  
Once a year  
Overview of the acquisition, allocation, and management of financial resources by health care providers. Focuses on economic, financial, and accounting principles.

HSA 565 Policy Issues in Health Care. (3)  
Once a year  
Current policy issues in health through concepts of access, cost, and quality; issues relating to disease trends and policy formulation.

HSA 566 Basic Principles of Epidemiology. (3)  
Spring  
Basic principles of epidemiology, evaluation of etiology, natural history, intervention therapy, and disease prevention. Lecture, lab. Prerequisite: Master of Public Health major or instructor approval.

HSA 571 Managed Care. (3)  
Not regularly offered  
Trends in managed care/integrated systems, complexities of balancing objectives (e.g., financial and quality). A two-semester-long marketplace simulation. Prerequisite: HSA 502.

HSA 573 Comparative Health Systems. (3)  
Once a year  
Comparison of health care financing and delivery in industrialized countries; covers insurance, hospital management, and physician payment. Lecture, discussion.

HSA 575 Chronic Care Administration. (3)  
Not regularly offered  
Management of long-term care services and facilities, including behavioral health and rehabilitation programs.

HSA 589 Integrative Seminar. (1–12)  
Fall, spring, summer  
Capstone assessment of current policies, problems, and controversies across the broad spectrum of health services administration. Prerequisites: HSA 505, 520, 522, 532.

HSA 591 Seminar. (1–12)  
Once a year  
Possible topics:  
(a) Behavioral Health. (3)  
(b) Cost Containment and Quality Assurance. (3)  
(c) Health Care Economic Outcomes. (3)  
(d) Health Care Policy. (3)  
(e) Managing Physicians. (3)  
(f) Topics in Health Services Research. (3)

HSA 593 Applied Project. (3)  
Fall, spring, summer  
Optional on-site experience in advanced development of managerial skills in health services administration and policy. Minimum of 10 weeks. Prerequisites: 18 hours of credit toward program of study; director approval.

HSA 598 Special Topics. (1–4)  
Once a year  
Possible topics:  
(a) Epidemiology. (3)

International Business Studies

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Certificate in International Business Studies  
The program of study leading to the Certificate in International Business Studies is designed to prepare students for positions with multinational firms, banks, government agencies, and international organizations. This program is not a substitute for the listed areas of business specialization; rather, the courses required for the certificate add an international dimension to the student’s chosen major.

Requirements for the certificate are designed to provide an understanding of international business environments, principles and operations; to provide an awareness of global social processes and a sensitivity to foreign cultures; and to develop competence in a foreign language. These objectives are met in the following ways: international business principles and operations, global and area studies, foreign language, and GPA proficiency.

International Business Principles and Operations. At least 15 semester hours of approved courses in international business are required. Students must take either IBS 300 Principles of International Business or ECN/IBS 306 Survey of International Economics and the international course in their major. Other international business courses from which the remaining hours are selected include

ECN 306 Survey of International Economics SB, G* 3
or IBS 306 Survey of International Economics SB, G* 3
ECN 331 Comparative Economic Systems SB, G* 3
ECN 360 Economic Development SB, G* 3
ECN 365 Economics of Russia and Eastern Europe SB, G* 3
ECN 436 International Trade Theory SB, G* 3
ECN 438 International Monetary Economics SB, G* 3
FIN 456 International Financial Management G* 3
IBS 300 Principles of International Business G* 3
IBS 394 ST: Economics of Latin America G* 3
IBS 394 ST: Regional Business Environment of Southeast Asia G* 3
or IBS 494 ST: Regional Business Environment of Southeast Asia 3
IBS 400 Cultural Factors in International Business C, G* 3  
or MGT 494 ST: Cultural Factors in International Business 3
IBS 494 ST: Applied International Management 3
IBS 494 ST: Corporate Finance 3
IBS 494 ST: International Management 3  
MKT 394 ST: Global Markets 3  
MKT 435 International Marketing 3
**INTERNATIONAL BUSINESS STUDIES (IBS)**

**IBS 300 Principles of International Business. (3)**
*fall, spring, summer*

Multidisciplinary analysis of international economic and financial environment. Operations of multinational firms and their interaction with home and host societies. Prerequisite: ECN 112.

*General Studies: G*

**IBS 306 Survey of International Economics. (3)**
*fall and spring*

Survey of international trade issues, commercial policy, trade theory, customs unions, and international monetary topics. Not for Economics majors. Lecture, discussion. Cross-listed as ECN 306. Credit is allowed for only ECN 306 or IBS 306. Prerequisites: ECN 111 (or 112); 2.00 ASU GPA; junior standing.

*General Studies: SB, G*

**IBS 394 Special Topics. (1–4)**
*fall and spring*

Possible topics:
(a) Economics of Latin America. (3)
(b) Regional Business Environment of Southeast Asia. (3)
Prerequisites: 2.00 ASU GPA; junior standing.

**IBS 400 Cultural Factors in International Business. (3)**
*fall, spring, summer*

Cultural role in international business relations; applied principles of cross-cultural communications, negotiations, and management; regional approaches to business relations. Prerequisites: IBS 300, 306 (or ECN 306).

*General Studies: C, G*

**IBS 484 International Business Internship. (3)**
*not regularly offered*

Academic credit for professional work organized through the internship/program. Prerequisites: IBS 300 or 306 (or ECN 306); professional program business student; senior; minimum cumulative ASU GPA of 3.40; minimum ASU business GPA of 3.40.

**IBS 493 International Honors Thesis. (3)**
*fall and spring*

*General Studies: L*

**IBS 494 Special Topics. (1–4)**
*fall and spring*

Possible topics:
(a) International Management. (3)
(b) Multinational Management. (3)
(c) Regional Business Environment of Southeast Asia. (3)
Credit is allowed for only IBS 494 ST: International Management or IBS 494 ST: Multinational Management or MGT 459.

**IBS 499 Individualized Instruction of International Business. (3)**
*fall and spring*

For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
The faculty in the Department of Management are widely recognized for their work in the areas of strategic management, organizational behavior, human resource management, operations management, and management science. Faculty members emphasize high-tech management, quality, process and project management, decision and risk analysis, globalization, diversity, small business and entrepreneurship, change management, systems dynamics, organizational identity, corporate governance, and human resource management practices in their research, consulting, and teaching.

Department of Management faculty take great pride in their teaching excellence and have been very active in continuing to improve collaborative teaching techniques. Eight management faculty and teaching assistants have won recent college- or university-level awards for their excellence in teaching effectiveness.

MANAGEMENT—B.S.

Understanding of theory and concepts of management are enhanced by experiencing and testing these concepts in skill-based exercises and cases throughout the curriculum. After analyzing surveys of graduates, their employers, and members of the Dean’s Council of 100, the department concluded that the major should have a strong emphasis on measurable, competency-based skills. Based on the survey data, the department identified major skill areas that encompass the most important competencies, including.

Administrative
- conflict management
- diversity awareness/management
- project management

Analytical
- creativity/innovation
- critical analysis skills
- planning/decision-making skills

Coaching/Facilitating
- employee motivation
- employee training/development
- mentoring

Communication
- persuasion and negotiation
- verbal
- written

Team Orientation
- delegation and empowerment
- develop and maintain teamwork
- relationship building

The faculty focus on both understanding theory and developing competency in these specific skills in all management courses, particularly the three courses taken by all management majors: MGT 311 Human Resource Management, MGT 352 Human Behavior in Organizations, and MGT 463 Strategic Management. The emphasis is on special participative exercises and assignments to practice the skills. Some of these skills, such as communicating, team building, and critical analysis are also emphasized in college core classes (MGT 301 Management and Organization Behavior and SCM 300 Global Supply Operations). Further, all undergraduate management classes emphasize skill development exercises for appropriate course topics. Management majors can choose their electives in one of four tracks: general management, managing human resources, small business and entrepreneurship, or managing business processes.

General Management Track

The central purpose of the Management major is to prepare men and women for managerial leadership in a world characterized by demands for continuous improvements in quality; growing technological sophistication; racial, cultural, and gender diversity in the workforce; and expanding globalized markets. This emphasis is on accomplishing the organization’s goals in a changing environment by successfully coordinating all available resources. As technological change and global markets create new opportunities for modern organizations, the contemporary manager faces increasingly complex challenges.

To prepare students to meet these challenges, the general management track curriculum is designed to provide exercises and cases that focus on developing competency-based skills. Applications orientations in classroom settings promote the development of administrative, analytic, and communicating skills; coaching and facilitating skills; and a team orientation. This pragmatic focus is developed in both internal and external contexts:

1. legal environment of management activity;
2. the range of human behavior encountered in organizational settings;
3. the interrelation of the component functions of a business;
4. the responsibilities of a firm in contemporary society;
5. the challenges to an organization active in an international arena; and
6. the role of the entrepreneur in the growth of businesses.

The following courses must be taken to complete this track:

- MGT 311 Human Resource Management.................................3
- MGT 352 Human Behavior in Organizations...............................3
- MGT 413 Compensation Management.........................................3
- MGT 423 Employee-Management Relations.................................3
- MGT 463 Strategic Management L ...............................................3
- MGT elective .............................................................................3

Total ...............................................................................................18

This generalist perspective addresses such current issues as diversity in the workplace, global involvement, total quality management, and ethics and other managerial emphases that promote success. An interactive, cooperative learning environment is stressed.

As the preferred track for the individual wanting a general grounding in the management discipline, students find a broad range of opportunities available upon graduation. Service and manufacturing firms, for-profit and not-for-profit organizations, and large and small organizations will immediately benefit from the preparation of these graduates and recruit them for challenging trainee positions or entry-level management positions.

**Managing Human Resources Track**

People are the common denominator in all organizations. The efficient and effective management of people is central to the success of the organization. Management has been defined as “the process of getting things done through people.” The human resource management track in the Management major introduces students to the spectrum of knowledge necessary to effectively manage people.

This track is designed to train and familiarize future employees, general managers, and human resource specialists with the human resource functional areas, such as performance appraisal, dismissal, and the legal environment surrounding the employment relationship.

Students in this track develop key skills in managing workforce diversity, team building, and negotiation. Focus in this track is on developing skills in managing people. Students are involved in class activities such as cases and experiential exercises that develop skills in preventing and solving human resource problems.

The following courses must be taken to complete this track:

- MGT 311 Human Resource Management.................................3
- MGT 352 Human Behavior in Organizations...............................3
- MGT 413 Compensation Management.........................................3
- MGT 423 Employee-Management Relations.................................3
- MGT 463 Strategic Management L ...............................................3
- MGT elective .............................................................................3

Total ...............................................................................................18

Note that students who have completed MGT 494 ST: Small Business Planning should not take MGT 445 Small Business Plan Development.

Students completing the small business and entrepreneurship track are most likely to work in small businesses or new ventures within larger corporations. Students in this track (or other business majors) may also be interested in the Certificate in Small Business and Entrepreneurship. See “Certificate in Small Business and Entrepreneurship,” page 175.

**Managing Business Processes Track**

Processes are central to all organizations. Designing and manufacturing a product involves a series of steps in a transformation process starting with raw materials acquisition and continuing through product production, delivery, and use. Determining and delivering a service involves a series of steps in setting service characteristics and providing the service. Specific theories and tools for managing, changing, and continuously improving business processes have been developed and are key ingredients to successfully managing businesses in the global economy.

Students in this track develop key skills in communicating and working with people, particularly in planning and managing process changes. The focus in this track is on understanding key aspects of process design and analysis.

Large corporations in manufacturing and services, as well as small businesses and consulting firms, hire students who successfully complete this track.

**Small Business and Entrepreneurship Track**

Managing, growing, developing, and starting small businesses is one of the most vital and challenging segments of the economy. Most new innovations spring from small to midsize firms. New venture opportunities emerge each day. Smaller firms constitute the job-creation engine of the nation. The potential for individual wealth creation largely resides among entrepreneurial firms, and in an era of downsizing, many current and former corporate employees are looking toward self-employment as a long-term career option. The sequence of courses in the small business and entrepreneurship track does not limit student ability to seek employment in the corporate environment. Rather, it provides an enhanced skill set many firms will value, and it offers alternative career options.

The small business and entrepreneurship course sequence provides a broad-based understanding of the entrepreneurial process and the unique problems and challenges faced by smaller firms. In addition, students gain an opportunity to develop their own potential venture concepts.

The following courses must be taken to complete this track:

- MGT 311 Human Resource Management.................................3
- MGT 352 Human Behavior in Organizations...............................3
- MGT 440 Small Business and Entrepreneurship..........................3
- MGT 445 Business Plan Development.........................................3
- MGT 463 Strategic Management L ...............................................3
- MGT elective .............................................................................3

Total ...............................................................................................18

For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
Students are involved in case studies and industry projects dealing with actual process issues. Students in this track focus on developing knowledge and skills in product/service design and management, process improvement and problem solving, analysis of process costs, change management, team approaches to solving process problems, and project management skills.

The following courses must be taken to complete this track:

- **MGT 311 Human Resource Management** (3)
- **MGT 352 Human Behavior in Organizations** (3)
- **MGT 433 Management Decision Analysis** (3)
  
  - or **MGT 468 Management Systems** (3)
  
  - or **MGT 480 Team Management Skills** (3)
- **MGT 463 Strategic Management** (3)
- **OPM 450 Changing Business Processes** (3)
- **QBA 321 Applied Quality Analysis I** (3)
  
  - or **SCM 432 Materials Management** (3)

Total: 18

Because managing and controlling the quality of processes is a key issue in process management, students electing this track are strongly urged to also complete the Certificate in Quality Analysis. See “Certificate in Quality Analysis,” page 154.

Although large corporate manufacturing and service firms will hire students in this new track, there will also be special opportunities for these students to have a strong positive impact in the many start-up and medium-size businesses in Arizona. Many management consulting firms that recruit college graduates are very interested in students from this track.

**Approved Electives for Management.** The following electives have been approved for the management tracks.

- **ACC 316 Management Uses of Accounting** (3)
- **MGT 413 Compensation Management** (3)
- **MGT 422 Training and Development** (3)
- **MGT 423 Employee-Management Relations** (3)
- **MGT 424 Employee Selection and Appraisal** (3)
- **MGT 433 Management Decision Analysis** (3)
- **MGT 434 Social Responsibility of Management** (3)
- **MGT 440 Small Business and Entrepreneurship** (3)
- **MGT 445 Business Plan Development** (3)
- **MGT 459 International Management** (3)
- **MGT 468 Management Systems** (3)
- **MGT 480 Team Management Skills** (3)
- **MGT 494 Special Topics** (3)
- **MKT 302 Fundamentals of Marketing Management** (3)
- **OPM 450 Changing Business Processes** (3)

**Hot Links to Major in Management.** More information, hot links to courses and faculty, and any updates on the undergraduate major in Management can be found on the Web at www.cob.asu.edu/mgt.

**Graduation Requirements**

In addition to fulfilling major requirements, students seeking a degree must meet all university and college requirements. See “University Graduation Requirements,” page 74, and “College Degree Requirements,” page 152.

**GRADUATE PROGRAMS**

The Department of Management participates actively in several master’s and Ph.D. programs, particularly the technology M.B.A., executive M.B.A., evening M.B.A., and day M.B.A. programs. For a detailed description of these programs, see the Graduate Catalog. Areas of specialization offered by the Department of Management for technology, evening, and executive M.B.A. students include process management in high technology organizations; globalization and diversity management; entrepreneurship and small business development; and management consulting.

The Department of Management has adopted a modular approach to Ph.D. education to improve its ability to deliver focused, high-quality seminars, give students more flexibility in defining their areas of expertise, increase their rate of quality publications, and enhance the quality of Ph.D. placements.

**Hot Links to Graduate Programs.** More information, hot links to courses and faculty, and any updates on the Department of Management areas of specialization for the M.B.A. programs can be found on the Web at www.cob.asu.edu/mgt.

General information on the M.B.A. programs can be found on the Web at www.cob.asu.edu/mba.

More information, application procedures, hot links to faculty, and any updates on the Ph.D. program in Management can be found on the Web at www.cob.asu.edu/mgt/degree/phdmainpg.htm.

**MANAGEMENT (MGT)**

- **MGT 301 Management and Organization Behavior.** (3)
  
  - fall, spring, summer
  
  Administrative, organizational, and behavioral theories and functions of management, contributing to the effective and efficient accomplishment of organizational objectives. Prerequisites: 1 psychology (social and behavioral) course and 1 sociology course.

- **MGT 311 Human Resource Management.** (3)
  
  - fall, spring, summer
  
  Human resource planning, staffing, training and development, compensation, appraisal, and labor relations. Prerequisite: MGT 301.

- **MGT 352 Human Behavior in Organizations.** (3)
  
  - fall, spring, summer
  
  Human aspects of business as distinguished from economic and technical aspects and how they influence efficiency, morale, and management practice. Prerequisite: MGT 301.

- **MGT 380 Management and Strategy for Nonmajors.** (3)
  
  - fall, spring, summer
  
  Introduction to the functions and applications of management in organizations, including controlling, decision making, leadership, motivation, planning, and social responsibility.

- **MGT 394 Special Topics.** (3)
  
  - not regularly offered

- **MGT 413 Compensation Management.** (3)
  
  - fall and spring
  
  Establishing base and incentive pay with job analysis, job evaluation, and wage surveys; performance appraisal; conformance to compensation laws. Prerequisites: MGT 311; professional program business student.
MGT 422 Training and Development. (3)
fall and spring
Learning theory, orientation and basic-level training, management development, resource materials and methods. Prerequisites: MGT 311; professional program business student.

MGT 423 Employee-Management Relations. (3)
fall and spring
Employment relationship in union/nonunion setting. Employee-management rights/responsibilities, complaint administration, negotiations, union structure, and mock government negotiations.

MGT 424 Employee Selection and Appraisal. (3)
fall and spring

MGT 433 Management Decision Analysis. (3)
class
Decision-making concepts and methods in the private and public sectors and their application to organizational problems. Understanding of individual and group decision making. Prerequisites: MGT 301; professional program business student.

MGT 434 Social Responsibility of Management. (3)
class
Relationship of business to the social system and its environment. Criteria for appraising management decisions. Managers as change agents. Prerequisites: MGT 301; professional program business student.

MGT 440 Small Business and Entrepreneurship. (3)
class
Opportunities, risks, and problems associated with small business development and operation.

MGT 445 Business Plan Development. (3)
class
Develops a complete strategic business plan emphasizing the planning process undertaken by successful small business owners and entrepreneurs. Lecture, discussion, experiential exercise. Prerequisite: MGT 440.

MGT 459 International Management. (3)
class
Concepts and practices of multinational and foreign firms. Objectives, strategies, policies, and organizational structures for operating in various environments. Credit is allowed for only MGT 459 or IBS 494 ST: Multinational Management. Prerequisite: IBS 300 or MGT 301.

MGT 463 Strategic Management. (3)
class
Strategic formulation and administration of the total organization, including integrative analysis and strategic planning. To be taken last semester of senior year. Prerequisites: completion of 108 hours, including all other business administration core requirements; professional program business student.

MGT 468 Management Systems. (3)
class
Systems theory and practice applied to organization process and research. Organizations seen as open systems interacting with changing environments. Prerequisite: MGT 301.

MGT 480 Team Management Skills. (3)
class
Cooperative education class teaching team skills in active listening, conflict resolution, decision making, effective meetings, norming, and team roles. Cooperative learning.

MGT 484 Internship. (3)
class
Nonmajor elective credit only.

MGT 494 Special Topics. (1–4)
not regularly offered
Current topics in management, primarily designed for business majors. See the Schedule of Classes for current offerings. Possible topics:
(a) Applied International Management. (3)
(b) Cultural Factors in International Business. (3)
Prerequisite: IBS 300 or MGT 301 or IBS 494 or MGT 459.

MGT 499 Individualized Instruction. (1–3)
not regularly offered

MGT 502 Organization Theory and Behavior. (3)
not regularly offered
Important concepts and applications in management, including communication, decision making, group dynamics, leadership, motivation, organization change, and organization design. Prerequisites: computer literacy, graduate degree program student.

MGT 522 Human Resource Activity and the Management of Diversity. (3)
not regularly offered
Applies general and human resource management principles to work effectively with a diverse spectrum of people. Discussion, exercises. Prerequisite: M.B.A. degree program student.

MGT 523 Managing People for Service Advantage. (3)
not regularly offered
Covers HRM practices that are conducive to building and maintaining internal customer equity and maximizing external customer service. Discussion, lecture, class exercises, cases. Prerequisite: M.B.A. degree program student.

MGT 559 International Management. (2–3)
not regularly offered
Studies international and cross-cultural influences on management processes and development of global leadership capabilities for experienced management professionals. Discussion, company analyses, case analyses, lecture, guest speakers. Prerequisite: M.B.A. degree program student.

MGT 561 Advanced Integrated Project. (2–3)
not regularly offered
Covers HRM practices that are conducive to building and maintaining internal customer equity and maximizing external customer service. Discussion, lecture, class exercises, cases. Prerequisite: M.B.A. degree program student.

MGT 570 Management Consulting. (3)
not regularly offered
Develops understanding of how internal and external consultants add value. Prerequisites: ability to use common business software, including Microsoft Office; familiarity with spreadsheets.

MGT 589 Strategic Management. (3–4)
not regularly offered
Formulation of strategy and policy in the organization, emphasizing the integration of decisions in the functional areas. Prerequisite: M.B.A. degree program student.

MGT 591 Seminar. (1–12)
not regularly offered
Possible topics:
(a) Business Plan Competition. (3)
(b) Entrepreneurship. (3)
(c) Human Resource Activity and the Management of Diversity. (3)
(d) Human Resource Management and Service Delivery. (3)
(e) Human Resources and High-Technology Management. (3)
(f) International Management. (3)
(g) Management Consulting. (3)
(h) Organizational Change and Business Process Consulting. (3)
Decisions regarding management of facilities and technology for organizations. Discussion, lecture, class exercises, cases. Prerequisite: Technology life cycles, technology forecasting, new product development, and selection.

OPM 587 Project Management. (3)
\[\text{once a year}\]
Planning, scheduling, and controlling of projects in R & D, manufacturing, construction, and services. Project selection, financial considerations, and resource management. Prerequisite: QBA 502.

OPM 588 Strategic Project Management. (2–3)
\[\text{fall}\]
Overview of strategic project management processes, project planning and control, project portfolio management, resource allocation, management of strategic project partners. Discussion, lecture, class exercises, cases. Prerequisite: M.B.A. degree program student.

OPM 591 Seminar. (1–12)
\[\text{once a year}\]
Possible topics:
(a) High-Performance Management Processes. (3)
(b) Management of Technology. (3)
(c) New Product and Process Development. (3)
(d) Project Management. (3)

OPM 593 Applied Projects. (3)
\[\text{once a year}\]
Cross-functional teams initiate (possibly implement) organizational change within a local firm. Lecture, discussion, experiential learning. Pre- or corequisite: all core courses in the M.B.A. program.

OPM 791 Doctoral Seminars in Operations and Production Management. (1–12)
\[\text{not regularly offered}\]
Short module seminars. Possible topics:
(a) Management of Technology. (1)
(b) Manufacturing Strategy. (1)
(c) Operations Management. (1)
(d) Project Management. (1)

OPERATIONS MANAGEMENT (OPM)

OPM 394 Special Topics. (3)
\[\text{not regularly offered}\]
Current topics in operations and production management, primarily designed for nonbusiness majors. See the Schedule of Classes for current offerings, which may, for example, include Operations and Logistics Management for nonmajors.

OPM 450 Changing Business Processes. (3)
\[\text{once a year}\]
Describes and analyzes business processes. Generates and evaluates alternatives. Creates improvement and implementation plans. Prerequisites: SCM 300; QBA 221.

OPM 540 Quality and Productivity Management. (3)
\[\text{not regularly offered}\]
Organizational factors influencing quality and productivity in the production of goods and services. Quality and productivity strategies, improvement programs, and measurement systems. Prerequisite: SCM 502 or instructor approval.

OPM 581 Management of Technology and Innovation. (3)
\[\text{fall}\]
Technology life cycles, technology forecasting, new product development, innovation teams, innovation best practices. Prerequisite: M.B.A. degree program student.

OPM 583 Project Management in Service Organizations. (2–3)
\[\text{fall}\]
Project management planning, leadership, and control in service organizations. Discussion, lecture, class exercises, cases. Prerequisite: M.B.A. degree program student.

OPM 585 Facilities Design and Management of Technology. (3)
\[\text{once a year}\]
Decisions regarding management of facilities and technology for manufacturing and service firms. Facilities location, layout, process design, and selection.

OPM 586 High-Technology Project Management. (2–3)
\[\text{fall}\]
Project management processes for high-technology organizations, including planning, scheduling, team development, and control. Prerequisite: M.B.A. degree program student.

QUANTITATIVE BUSINESS ANALYSIS (QBA)

For more QBA courses, see "Department of Economics."

QBA 505 Management Science. (3)
\[\text{not regularly offered}\]
Quantitative approaches to decision making, including linear programming and simulation, with emphasis on business applications. Prerequisites: MAT 210; QBA 502.

QBA 508 Product and Service Innovation. (3)
\[\text{fall and spring}\]
Develops strategies for innovation in products and services. Prerequisites: basic algebra; basic probability concepts; elementary knowledge of Windows.

QBA 550 Intermediate Decision Analysis. (3)
\[\text{not regularly offered}\]
Quantitative decision analysis methods for business decision making under uncertainty, including decision diagrams, subjective probabilities, and preference assessment. Prerequisites: MAT 210; QBA 502.

QBA 591 Seminar. (1–12)
\[\text{fall and spring}\]
Current topics in quantitative business analysis primarily designed for technology, evening, and executive M.B.A. students. Elective courses for these programs may include the following possible topics:
(a) Decision Models. (3)
(b) Decision Models for Consulting. (3)
(c) Management Problem Solving. (3)
(d) Strategic Decision Analysis. (3)
QBA 593 Applied Project. (1–12)
\[\text{not regularly offered}\]
QBA 597 Thesis. (1–12)
\[\text{not regularly offered}\]
QBA 791 Doctoral Seminars in Quantitative Business Analysis. (1–12)
\[\text{not regularly offered}\]
The Department of Management has adopted a modular approach to Ph.D. education. Possible topics:
(a) Chaos Theory. (1)
(b) Risk Analysis. (1)
(c) Strategic Decision Making. (1)
(d) Systems Dynamics. (1)
Department of Marketing

Michael P. Mokwa
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www.cob.asu.edu/mkt

PROFESSORS
BITNER, BROWN, HUTT, JACKSON, KUMAR, LASTOVICKA, MOKWA, L. OSTROM, REINGEN, SCHLACTER, WARD
ASSOCIATE PROFESSORS
BLASKO, NOWLIS, SINHA, STEPHENS, WALKER
ASSISTANT PROFESSORS
HUNTER, A. OSTROM, ROUNDTREE
SENIOR LECTURER
SPIERS

Study in the field of marketing involves analysis of how organizations plan, organize, deploy, and control their resources to achieve market objectives. Focus is placed on market forces, growth, and the deployment of firms in competitive markets and on the marketing strategy and tactics of the firm. Through the proper selection of courses, a student may prepare for a career in

1. selling and sales management;
2. services and retail marketing;
3. promotion and advertising management;
4. business to business marketing;
5. international marketing;
6. market research and planning;
7. general marketing management; or
8. retail management.

MARKETING—B.S.

The major in Marketing consists of 18 semester hours. The following courses must be included:

MKT 302 Fundamentals of Marketing Management .................3
MKT 304 Consumer Behavior .............................................3
MKT 451 Marketing Research .............................................3
MKT 460 Strategic Marketing L ...........................................3

Total ..................................................................................12

To complete the major, students, in consultation with their faculty advisors, select six additional hours from among the following list of courses:

MKT 301 Principles of Advertising.................................3
MKT 310 Principles of Selling..........................................3
MKT 311 Creative Strategy in Marketing .........................3
MKT 411 Sales Management .............................................3
MKT 412 Promotion Management....................................3

MKT 424 Retail Management...........................................3
MKT 430 Marketing for Service Industries .......................3
MKT 434 Industrial Marketing.........................................3
MKT 435 International Marketing ...................................3
MKT 484 Internship .......................................................3
MKT 499 Individualized Instruction .................................1–3

Major Proficiency Requirements

Students must receive grades of “C” or higher in upper-division courses for the major. If a student receives a grade below “C” in any course in the major, this course must be repeated. If a second grade below “C” is received in either an upper-division course in the major already taken or in a different upper-division course in the major, the student is no longer eligible to take additional upper-division courses in the major.

GRADUATION REQUIREMENTS

In addition to fulfilling major requirements, students seeking a degree must meet all university and college requirements. See “University Graduation Requirements,” page 74, and “College Degree Requirements,” page 152.

GRADUATE PROGRAMS

The department offers a distinctive M.B.A. curriculum in services marketing and management. For more information, see the Graduate Catalog.

MARKETING (MKT)

MKT 300 Principles of Marketing. (3)
tall, spring, summer
Role and process of marketing within the society, economy, and business organization. Prerequisite: ECN 112.

MKT 301 Principles of Advertising. (3)
tall, spring, summer
Advertising as a communications tool in marketing and business management. Survey of market segmentation, creative strategy, media, and effectiveness measures. Prerequisite: MKT 300.

MKT 302 Fundamentals of Marketing Management. (3)
tall, spring, summer
Marketing planning, implementation, and control by organizations, with special emphasis on identifying market opportunities and developing marketing programs. Prerequisite: MKT 300.

MKT 304 Consumer Behavior. (3)
tall, spring, summer
Applies behavioral concepts to the analysis of consumer behavior and the use of the behavioral analysis in marketing strategy formulation. Prerequisite: MKT 300.

MKT 310 Principles of Selling. (3)
once a year
Basic principles underlying the selling process and their practical application in the sale of industrial goods, consumer goods, and intangibles. Prerequisite: MKT 300.

MKT 311 Creative Strategy in Marketing. (3)
once a year
Discussion, application and evaluation of creative concepts and strategies. Creation of a portfolio addressing distinctive advertising/marketing problems and opportunities. Prerequisites: MKT 301; nonbusiness majors must obtain department approval.

MKT 382 Advertising and Marketing Communication. (3)
tall and spring
Introduction for nonbusiness majors to the communication process within marketing and advertising. Creation and presentation of an ad campaign. Not open to business majors. Prerequisites: junior or senior standing; 2.00 ASU GPA.
MKT 502 Marketing Management. (3)
not regularly offered
Planning and control concepts and methods for developing and evaluating strategic policy from a marketing perspective. Prerequisite: MKT 502.

MKT 584 Internship. (3)
fal, spring, summer

MKT 591 Seminar. (1–12)

P ossible topics:
(a) Business-to-Business Marketing. (3)
(b) Competitive Strategy for Services. (3)
(c) Consumer Behavior and Market Strategy. (3)
(d) Customer Satisfaction/Service Quality Measurement. (3)
(e) International Marketing. (3)
(f) Marketing in the Information Age. (3)
(g) New Product and Service Development. (3)

Small Business Programs

Emma J. Watson
Academic Director
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www.cob.asu.edu/up/smallbusiness.cfm

Mission
The ASU College of Business, in collaboration with the Center for the Advancement of Small Business, will create and sustain preeminent programs in small business for undergraduate students in all disciplines to prepare them for leadership in small and growing businesses.

Minor in Small Business

The minor in Small Business is available to nonbusiness majors and consists of 18 semester hours, with five required courses and one approved elective. Three Ca$hing In™ seminars are required to graduate. National and local experts present Ca$hing In™ seminars on-campus late in the afternoons three times per semester. COB 380 Small Business Leadership is a prerequisite or corequisite for the other courses. All GPA and semester hour requirements apply as listed.

Requirements

COB 380 Small Business Leadership .............................................3
COB 381 Small Business Accounting and Finance ........................3
COB 382 Small Business Sales and Market Development ..........3
COB 383 Small Business Working Relationships ....................3
COB 384 Small Business Operations and Planning ..................3
Approved elective .......................................................................3
Total ..........................................................................................18

B.I.S. Concentration in Small Business (B.I.S. Majors Only)
The requirements for the small business concentration are identical to those for the minor in Small Business listed above. For B.I.S. degree requirements, see “Bachelor of Interdisciplinary Studies,” page 108.
Certificate in Small Business and Entrepreneurship
A certificate in Small Business and Entrepreneurship is available to only business majors at ASU. The certificate requires 15 semester hours of classes of which the following six semester hours must be included:
MGT 440 Small Business and Entrepreneurship ........................................... 3
MGT 445 Business Plan Development ................................................... 3

The remaining nine semester hours consist of three additional upper-division courses relevant to small business. A copy of the approved electives for business majors pursuing the Certificate in Small Business and Entrepreneurship is available in the Undergraduate Programs Office. To receive the certificate, students must complete the specified business courses with a grade of “C” or higher.

Small Business and Entrepreneurship Track (Management Majors Only). See “Small Business and Entrepreneurship Track,” page 169, for the requirements of this program.

Department of Supply Chain Management
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PROFESSORS
J. CARTER, P. CARTER, ELLRAM, GUNTERMANN, HENDRICK, JENNINGS, KIRKWOOD, PEARSON, SMELTZER
ASSOCIATE PROFESSORS
ARANDA, BOHLMAN, BROOKS, BUTLER, CHOI, DAVIS, DUNDAS, KEEFER, LEONARD, LOCK, LYNCH, MALTZ, MURRANKA, SIFERD, VERDINI
ASSISTANT PROFESSORS
AMUNDSON, CLINTON, KRAUSE
RESEARCH PROFESSOR
MONCZKA
ADJUNCT PROFESSOR
CAVINATO
SENIOR LECTURER
LANGDON

The faculty in the Department of Supply Chain Management offer courses in four separate areas: legal and ethical studies, management communication, real estate, and supply chain management.

Legal and Ethical Studies
The legal and ethical studies faculty offer the undergraduate and the Master of Business Administration core requirements in legal and ethical studies. In addition, the faculty offer specialized courses in law and ethics relating to health care, insurance, real estate, and professional sports.

Management Communication
The management communication faculty serve the College of Business by teaching the B.S. core requirement BUS 301 Fundamentals of Management Communication.

SUPPLY CHAIN MANAGEMENT—B.S.
Supply chain management is the management of resources to design, procure, fabricate, produce, assemble, store, distribute, deliver, use, maintain, recycle, and dispose of goods and services.
A “supply chain” consists of interconnected companies required to transform ideas into delivered products and services.
Supply chain management is a business approach that focuses on integration and partnerships in order to meet customers’ needs on a timely basis, with relevant and high quality products, produced and delivered in a cost-effective manner.
Current interest in supply chain management stems from the need of world-class organizations to purchase, produce, move, and market goods and services on a global basis. Relentless focus on time, cost, and quality have sharpened the need to coordinate and cooperate with business partners around the world to meet and exceed customers’ needs and wants.

The major in Supply Chain Management consists of the following courses:

<table>
<thead>
<tr>
<th>Course</th>
<th>Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>SCM 345 Logistics Management</td>
<td>3</td>
</tr>
<tr>
<td>SCM 355 Supply Management</td>
<td>3</td>
</tr>
<tr>
<td>SCM 432 Materials Management</td>
<td>3</td>
</tr>
<tr>
<td>SCM 440 Productivity and Quality Management</td>
<td>3</td>
</tr>
<tr>
<td>SCM 455 Research and Negotiation</td>
<td>3</td>
</tr>
<tr>
<td>SCM 479 Supply Chain Strategy</td>
<td>3</td>
</tr>
<tr>
<td>Total</td>
<td>18</td>
</tr>
</tbody>
</table>

REAL ESTATE—B.S.
The Real Estate faculty offer a unique one-year program designed for the student’s last year of college. This innovative program emphasizes student involvement with real estate executives on projects in the Phoenix metropolitan area. Students are organized in teams to develop their analytical, communication, and team skills.

The program is organized around five aspects of real estate: brokerage/management, development, financing, investments, and market analysis. With the broad interdisciplinary perspective, emphasis on team work, and involvement in projects, students may pursue careers in land development, investment analysis, appraisal, property management, brokerage, and finance.
Successful completion of the program satisfies the requirements of the major based on the following courses:

<table>
<thead>
<tr>
<th>Course</th>
<th>Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>LES 411 Real Estate Law</td>
<td>3</td>
</tr>
<tr>
<td>REA 300 Real Estate Analysis</td>
<td>3</td>
</tr>
<tr>
<td>REA 331 Real Estate Finance</td>
<td>3</td>
</tr>
<tr>
<td>REA 401 Real Estate Appraisal</td>
<td>3</td>
</tr>
</tbody>
</table>

NOTE: For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.
**LEGAL AND ETHICAL STUDIES (LES)**

<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>LES 305</td>
<td>Legal, Ethical, and Regulatory Issues in Business</td>
<td>3</td>
</tr>
<tr>
<td>LES 306</td>
<td>Business Law</td>
<td>3</td>
</tr>
<tr>
<td>LES 380</td>
<td>Consumer Perspective of Business Law</td>
<td>3</td>
</tr>
<tr>
<td>LES 532</td>
<td>Negotiation Agreements</td>
<td>3</td>
</tr>
<tr>
<td>LES 579</td>
<td>Legal and Ethical Issues in Business</td>
<td>3</td>
</tr>
</tbody>
</table>

**REAL ESTATE (REA)**

<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>REA 300</td>
<td>Real Estate Analysis</td>
<td>3</td>
</tr>
<tr>
<td>REA 331</td>
<td>Real Estate Finance</td>
<td>3</td>
</tr>
<tr>
<td>REA 380</td>
<td>Real Estate Fundamentals</td>
<td>3</td>
</tr>
<tr>
<td>REA 401</td>
<td>Real Estate Appraisal</td>
<td>3</td>
</tr>
<tr>
<td>REA 441</td>
<td>Real Estate Land Development</td>
<td>3</td>
</tr>
</tbody>
</table>

**BUSINESS (BUS)**

<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
<th>Credits</th>
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<tbody>
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<tr>
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<tr>
<td>BUS 591</td>
<td>Seminar</td>
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<tr>
<td>BUS 594</td>
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<td>3</td>
</tr>
<tr>
<td>BUS 700</td>
<td>Research Methods</td>
<td>3</td>
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</tbody>
</table>

**MAJOR PROFICIENCY REQUIREMENTS**

Students must receive grades of “C” or higher in upper-division courses for the major. If a student receives a grade below “C” in any course in the major, this course must be repeated. If a second grade below “C” is received in either an upper-division course in the major already taken or in a different upper-division course in the major, the student is no longer eligible to take additional upper-division courses in that major.

**GRADUATION REQUIREMENTS**

In addition to fulfilling major requirements, students seeking a degree must meet all university and college requirements. See “University Graduation Requirements,” page 74, and “College Degree Requirements,” page 152.

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**BUSINESS (BUS)**

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**REAL ESTATE (REA)**

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<tr>
<td>REA 300</td>
<td>Real Estate Analysis</td>
<td>3</td>
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<tr>
<td>REA 331</td>
<td>Real Estate Finance</td>
<td>3</td>
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<tr>
<td>REA 380</td>
<td>Real Estate Fundamentals</td>
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<tr>
<td>REA 401</td>
<td>Real Estate Appraisal</td>
<td>3</td>
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<tr>
<td>REA 441</td>
<td>Real Estate Land Development</td>
<td>3</td>
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</tbody>
</table>
REA 456 Real Estate Investments. (3)  
*once a year*
Analyzes investment decisions for various property types. Cash flow and rate of return analysis. Prerequisites: FIN 300; professional program business student.

REA 461 Current Real Estate Topics. (3)  
*not regularly offered*
Discusses and analyzes current real estate topics of interest. Prerequisites: REA 300; professional program business student.

SUPPLY CHAIN MANAGEMENT (SCM)

SCM 300 Global Supply Operations. (3)  
*fall, spring, summer*
Resources and information to create and deliver products globally. Interfirm systems and industry supply chains. Customer, producer, and employee perspectives. Lecture, discussion. Prerequisites: ACC 240; CIS 200; QBA 221.

SCM 301 Supply Chain Management. (3)  
*not regularly offered*
Examines the purchasing, materials, and logistics management areas. Presents techniques for acquiring, storing, processing, and moving material inventory. Prerequisite: professional program business student.

SCM 345 Logistics Management. (3)  
*fall and spring*
Managing logistics activities with emphasis on integrating transportation needs with inventory, warehousing facility location, customer service, packaging, and materials handling. Prerequisite: professional program business student majoring in Supply Chain Management. Pre- or corequisite: SCM 300.

SCM 355 Supply Management. (3)  
*fall and spring*
Management of the supply function, including organization, procedures, supplier selection, quality, inventory decisions, and price determination. Prerequisite: professional program business student majoring in Supply Chain Management. Pre- or corequisite: SCM 300.

SCM 405 Urban Transportation. (3)  
*not regularly offered*
Economic, social, political, and business aspects of passenger transportation. Public policy and government aid to urban transportation development. Prerequisite: upper-division standing or instructor approval.

SCM 432 Materials Management. (3)  
*fall and spring*
Studies managing the productive flow of materials in organizations, including MRPII, JIT, quality, facility planning, and job design. Fee. Prerequisites: SCM 300; professional program business student majoring in Supply Chain Management.

SCM 440 Productivity and Quality Management. (3)  
*fall and spring*
Productivity concepts at the national, organizational, and individual levels. Quality management and its relationship to productivity in all organizations. Prerequisite: professional program business student majoring in Supply Chain Management.

SCM 455 Research and Negotiation. (3)  
*fall and spring*
Current philosophy, methods, and techniques used to conduct both strategic and operations supply chain management research and negotiation. Includes negotiation simulations. Prerequisite: professional program business student majoring in Supply Chain Management. Prerequisite with a grade of “C” or higher: SCM 355.  
*General Studies: L*

SCM 460 Carrier Management. (3)  
*not regularly offered*
Analyzes carrier economics, regulation, management, and rate-making practice; evaluates public policy issues related to carrier transportation. Prerequisite: upper-division standing or instructor approval.

SCM 463 Global Supply Chain Management. (3)  
*once a year*
Supply chain activities in international business with special emphasis on management of transportation, global sourcing, customs issues, and facility location in a global environment.

SCM 479 Supply Chain Strategy. (3)  
*fall and spring*
Synthesis of purchasing, production, transportation, and distribution systems to provide an integrated perspective of supply chain management. Prerequisite: professional program business student majoring in Supply Chain Management. Prerequisites with a grade of “C” or higher: SCM 345, 355, 432.

SCM 502 Operations and Supply Management. (3)  
*fall and spring*
Contemporary management issues, including environmental, project, and supply chain management; new product development; quality control; TOM. Prerequisite: M.B.A. degree program student.

SCM 532 Supply Chain Design and Development Strategies. (3)  
*fall*
Strategic orientation toward the design and development of the supply chain for purchasing, materials, and logistics systems.

SCM 541 Supply Chain Management and Control. (3)  
*spring*

SCM 545 Supply Chain Continuous Improvement Strategies. (3)  
*spring*
Leading-edge strategies such as reengineering high-performance teams and expert systems for continuous improvement of the supply chain. Seminar.

SCM 591 Seminar. (1–12)  
*not regularly offered*
Possible topics:
(a) Global Supply Chain Management. (3)
(b) New Product Development. (3)
(c) Quality and Productivity Management. (3)
(d) Services Operations Management. (3)

SCM 791 Doctoral Seminar. (1–12)  
*once a year*
Possible topics:
(a) Logistics, Transportation, and Physical Distribution Management. (3)
(b) Purchasing and Materials Management. (3)

NOTE: For the General Studies requirement, courses, and codes (such as L, SQ, C, and H), see “General Studies,” page 78. For graduation requirements, see “University Graduation Requirements,” page 74. For an explanation of additional omnibus courses offered but not listed in this catalog, see “Classification of Courses,” page 51.